# Pub. L. 102-477 Statistical Report

**Tribal Nation**
Red Lake Band of Chippewa Indians

<table>
<thead>
<tr>
<th>Report Period</th>
<th>From: 1/1/14</th>
<th>To: 12/31/14</th>
</tr>
</thead>
</table>

## I. Participants Served

<table>
<thead>
<tr>
<th></th>
<th>Adults</th>
<th>Youth</th>
<th>Cash Assistance Recipients</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Total Participants</td>
<td>519</td>
<td>60</td>
<td>278</td>
</tr>
<tr>
<td>B. Total Terminiess</td>
<td>96</td>
<td>47</td>
<td>135</td>
</tr>
<tr>
<td>C. Total Current Participants</td>
<td>423</td>
<td>13</td>
<td>143</td>
</tr>
</tbody>
</table>

## II. Termination Outcomes

<table>
<thead>
<tr>
<th></th>
<th>Adult</th>
<th>Youth</th>
<th>Cash Assistance Recipients</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Total with Employment Objective</td>
<td>50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Entered Unsubsidized Employment</td>
<td>50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Other Employment Outcomes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Employment Objective Not Achieved</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Earnings Gain</td>
<td>$850</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Total with Educational/Training Objective</td>
<td>12</td>
<td>47</td>
<td>$1100</td>
</tr>
<tr>
<td>1. Degree/Certificate Attempted/Attained</td>
<td>12</td>
<td>/</td>
<td>/</td>
</tr>
<tr>
<td>2. Other Education Outcome</td>
<td></td>
<td></td>
<td>47</td>
</tr>
<tr>
<td>3. Education Objective Not Achieved</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Literacy Gain - # of participants attempted/attained</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Numeracy Gain - # of participants attempted/attained</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C. Misc. Objective Achieved</td>
<td>21</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D. Other (Non-Positive)</td>
<td>13</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## III. Termination Characteristics

<table>
<thead>
<tr>
<th></th>
<th>Adult</th>
<th>Youth</th>
<th>Cash Assistance Recipients</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Female</td>
<td>41</td>
<td>21</td>
<td>77</td>
</tr>
<tr>
<td>B. Male</td>
<td>55</td>
<td>26</td>
<td>58</td>
</tr>
<tr>
<td>C. Education Level:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Dropout</td>
<td>18</td>
<td></td>
<td>44</td>
</tr>
<tr>
<td>2. Student</td>
<td></td>
<td></td>
<td>0</td>
</tr>
<tr>
<td>3. High School Diploma/GED</td>
<td>76</td>
<td></td>
<td>91</td>
</tr>
<tr>
<td>4. Post High School</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D. Veteran</td>
<td>2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## IV. Participant Activities

<table>
<thead>
<tr>
<th></th>
<th>Adult</th>
<th>Youth</th>
<th>Cash Assistance Recipients</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Employment</td>
<td>56</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Education/Training</td>
<td>446</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>C. Misc. Objective/Supportive Services</td>
<td>73</td>
<td>45</td>
<td></td>
</tr>
<tr>
<td>D. Other/Service Referral</td>
<td></td>
<td></td>
<td>278</td>
</tr>
</tbody>
</table>

## V. Child Care Development Activities

<table>
<thead>
<tr>
<th></th>
<th>Adult</th>
<th>Youth</th>
<th>Cash Assistance Recipients</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Families Receiving Child Care</td>
<td>54</td>
<td>175</td>
<td></td>
</tr>
<tr>
<td>B. Children Receiving Child Care</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Ages 0 to 3 years</td>
<td></td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>2. Ages 4 to 5 years</td>
<td></td>
<td>25</td>
<td></td>
</tr>
<tr>
<td>3. Ages 6 and above</td>
<td></td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>C. Care Received – Type of Provider</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Tribal Center Based</td>
<td>175</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Other Center Based</td>
<td></td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>3. Group Home</td>
<td></td>
<td>75</td>
<td></td>
</tr>
<tr>
<td>4. Other Care</td>
<td></td>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

## VI. Jobs Creation/Economic Development

### Jobs Created
- A. Number: 5
- B. Indians/Alaska Natives Employed: 5
- C. Businesses Assisted: 45

## Report Prepared By
Jerald Loud

**Printed Name & Signature**

**Phone Number:** (218) 679-3350  
**Date:** 3/27/15

**OMB Control No.** 1076-0135  
**Expiration Date:** 09/30/10
## FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted
   **US DEPARTMENT OF INTERIOR**

2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
   **OSGT409**

3. Recipient Organization (Name and complete address including Zip code)
   **RED LAKE BAND OF CHIPPEWA INDIANS**
   **P.O. BOX 574, 24388 HIGHWAY 1 EAST, RED LAKE, MN 56671**

4a. DUNS Number
    **78683406**
4b. EIN
    **41-0692381**
4c. Recipient Account Number or Identifying Number
    **(To report multiple grants, use FFR Attachment)**
    **C997 - New Beginnings - PL102-477**

5. Project/Grant Period (Month, Day, Year)
   **From: 01/01/2012**
   **To: 12/31/2014**

6. Report Type
   **Quarterly**
   **Semi-Annual**
   **Annual**
   **Final**

7. Basis of Accounting
   **Cash**
   **Accrual**

8. Reporting Period End Date (Month, Day, Year)
   **12/31/2014**

### 10. Transactions

#### Federal Cash (To report multiple grants, also use FFR Attachment): Cumulative

- **Cash Receipts**: 6,692,214.95
- **Cash Disbursements**: 6,692,214.95
- **Cash on Hand (line a minus b)**: 0

#### Federal Expenditures and Unobligated Balance:

- **Total Federal funds authorized**: 6,692,214.95
- **Federal share of expenditures**: 6,903,208.17
- **Federal share of unliquidated obligations**: -
- **Total Federal share (sum of lines e and f)**: 6,903,208.17
- **Unobligated balance of Federal funds (line d minus g)**: (210,993.22)

#### Recipient Share:

- **Total recipient share required**: -
- **Recipient share of expenditures**: 55,188.79
- **Remaining recipient share to be provided (line i minus j)**: (55,188.79)

#### Program Income:

- **Total Federal program income earned**: 93,881.38
- **Program income expended in accordance with the deduction alternative**: -
- **Program income expended in accordance with the addition alternative**: 93,881.38
- **Unexpended program income (line l minus line m or line n)**: -

#### Indirect Expense

<table>
<thead>
<tr>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Fixed</td>
<td>22.90%</td>
<td>01/01/2014</td>
<td>604,666.08</td>
<td>138,473.11</td>
<td>138,473.11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>12/31/2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g. Totals:</td>
<td></td>
<td></td>
<td>604,666.08</td>
<td>138,473.11</td>
<td>138,473.11</td>
</tr>
</tbody>
</table>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

   Please note that the amount of ($201,014.69) in h. is the federal share of prior years carry over.

   Please note that the amount of $53,996.49 in k. recipient share of expenditures is the amount of unmet needs in FY2012.

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

   a. Typed or Printed Name and Title of Authorized Certifying Official
   **Annette Johnson, Tribal Treasurer**

   b. Signature of Authorized Certifying Official
   
   c. Telephone (Area code, number, and extension)
   **(218) 679-1800 EXTENSION 1801**

   d. Email Address
   **annette.johnson@redlakenation.org**

   e. Date Report Submitted (Month, Day, Year)
   **3/25/2015**

14. Agency use only:

   Standard Form 425 - Revised 6/28/2010
   OMB Approval Number: 0348-0061
   Expiration Date: 10/31/2011

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**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.
Red Lake Band of Chippewa Indians
Grant Contract Number: OSGT409
P.L. 102-477
Financial Status Report
Section 12
Funding Contract Period from 01/01/2012 to 12/31/2014
Year covered by this report from 01/01/14 to 12/31/14

12a & 12b
Prohibition of the Title II-B funds expended on economic development activities or on assisting employer relocations.
None of these funds in the approved budget which originated in Public Law 93-638 as amended Section 108 (c) Model contract were used in violation of the Act’s Prohibition for economic development activities or assisting employer relocations.

12c
Report on Child Care Income and how expended if any.
Child Care Development Center income for this period was $23,538.31. The entire amount was spent on strictly on child care related activities.

12d
Report on refunds or rebates received during this period.
There were no refunds or rebates received during this period.

12e
Total amount of funds expended including administrative funds.
The total amount of administrative funds (indirect costs) expended this period is $138,473.11. The total funds expended this period are $2,302,329.07.

12f
Report on expenditure of child care funds.
All child care funds were spent on direct or child care activities.

12g
DHHS – TANF Report
Not Applicable.

Annette Johnson
Tribal Treasurer
Geographic/Demographic Description

The Red Lake Nation is located in the northwest corner of Minnesota, about 160 miles from the Canadian border. The Nation is 1,259 square miles in area. It is very beautiful, containing forests, wetlands, brush and grasslands, and two vast, connected freshwater lakes: Upper and Lower Red Lake. Along the southern shore of the lower lakes are the communities of Little Rock, Red Lake and Redby. Thirty-five miles north, on the peninsula between the two lakes, lies a fourth community, Ponemah.

Red Lake proper is the major community and is home to the Red Lake Tribal government, Red Lake Elementary, Middle and High Schools and a Catholic Mission School, Red Lake Hospital, Red Lake Tribal Court, Police Department and Criminal Justice Center. Red Lake is a "closed" nation, meaning all its land is owned in concert by its, enrolled tribal members. Its elected government, the Red Lake Tribal Council, is the sole governing authority on the nation. The Council is made up of two representatives from each of the four districts, plus a Treasurer, a Tribal Secretary and a Tribal Chair. Politically, the Nation is a separate jurisdiction with its own courts, police, and codes of law. Geographically it is a solid unit, without the checker boarding of acreage sold out of the Tribe. The Tribal membership count of the Red Lake Nation is just over 10,000. Sixty percent of households earn less than $12,000 a year. Red Lake Schools (ISD #38) is 99% free and reduced lunch, an indicator of the community's poverty rate.

Throughout America, Indian children consistently have the lowest placement scores, the highest absenteeism and dropout rates. They are found to be the most at risk and to have the highest suicide rate. They are among the poorest students in the country.

The positive influence of school, lesson content, role modeling, socialization, etc. is greatly diminished for Indian children. This is especially true if a large culture gap exists between Indian students-their parents the community and the teachers, curriculum and administration of a school. Education experts cite a decline in academic achievement for Indian students that starts in early elementary grades. They suggest a major factor in this decline is the development of poor attendance patterns, high absenteeism, and high mobility.

Need for Assistance

The enrollment figures of the Red Lake Nations stands at just over 10,000 members of which approximately 5,089 members reside within the Nation boundaries. An estimated 5,000 reside off the nation.

Approximately 90% of Red Lake's entire population is low income. Sixty five (65) percent of households earn less than $12,000.00 per year. According to the Labor force Reports o the Bureau of Indian Affairs for 200-2004, of the 2000 Red Lake people working 1400 still had incomes under the Federal Poverty Level. In other words, only 600 workers
(10% of the population) at Red Lake earned wages that brought them out of poverty. The reports show that half of all Red Lake people of working age were unemployed.

About half of Red Lake families are single parent homes. Alcohol and/or drug abuse cause problems for individuals, families and communities. Continuous strides addressing these issues have remained a tribal priority.

**General Equivalency Diploma (GED)**

The drop out rate on the Red Lake Nation is at 60%, according to the local schools. Oshkiimaajitahdah is trying to address this issue by providing GED services.

Oshkiimaajitahdah utilizes a holistic approach to providing services to GED students through a contractual agreement with Infomeld, Inc. Infomeld, Inc. makes available two experienced tutors that has led to the success the GED program experiences. Classroom instruction is held in a structured setting and also providing GED services at two sites, Redby and Ponemah. The Ponemah community is located 30 miles north of our Oshkiimaajitahdah program. We have had a lot of positive results by having the GED final exam at the Red Lake site.

This past year there were 250 individuals participating in the GED program offered here at our office with 12 individuals receiving their GED.

**Education – Higher Education Program**

The Tribal Higher Education Program is designed to meet the post secondary educational needs of our clients to prepare them for professional levels of employment.

In the past fiscal year there were 196 students participating in the Higher Education Program. Courses of study include Nursing, Business Administration; and Computer Science and other Educational pursuits are Elementary Education and Addiction Counseling.

This reporting period there were 25 High Education graduates.

**Work Experience Opportunities**

The Oshkiimaajitahdah program has work experience opportunities available to long term unemployed clients. A work experience contract will provide approximately 12 weeks of employment for the Client with a wage rate of $8.00 per hour at 40 hours per week. Some examples of the worksites include the Red Lake Hospital offering maintenance, janitorial, clerical positions; the Red Lake Head Start Program has a teacher’s aides, cooks and receptionist positions. The Oshkiimaajitahdah program will provide supervision for positions such as file clerk, crew supervisors, intake workers and child care technicians. We have had much success with permanent job placements in the chemical health field employing adult technicians, adolescent care technicians and urinalysis testers.

The Oshkiimaajitahdah program has also developed worksites with the Law Enforcement Agency, Red Lake Nation Courts and Prosecution Office. A Job Developer Position was made available for Oshkiimaajitahdah and is being utilized to work with not only employers located on the nation but also off-nation employers as well.
General Assistance

General Assistance has been provided for eligible clients that reside within the boundaries of the Red lake Nation. Once the individual development plan has been developed the client will be required to work on their goals. The client may also be required to participate in personal life skills training or other components of the Oshkiimaajitahdah activities and intensive services.

This past program year there were a total of 278 General Assistance clients served of these 278 cases clients 178 were employable and doing work search; 100 were exempt medical cases.

Child Care Services

The Oshkiimaajitahdah Program is very cognitive of the need for child care services on the Nation. It is for this reason that child care will remain a priority as this has been recognized as a frequent barrier for clients trying to acquire and maintain employment.

The program provided Childcare to 54 families with a total of 175 children receiving childcare. The 175 children received childcare services in the following types of settings.

- Tribal Daycare Centers 100
- Other Center Based 0
- Tribal Licensed Family Homes 75
- Other Care 0

A Child Care Development Center is on site at the Oshkiimaajitahdah program and employs 6 staff. The center provided over 1,000 hours of supportive service child care for low income families that could not afford child care during the times parents conducted business at the Oshkiimaajitahdah program.

The Oshkiimaajitahdah program will continue to work with the Head Start Program, Early Childhood Committees and the local schools in an effort to maximize the child care services that are being provided locally. We will continue to hold Child Care Committee meetings which consist of tribal members and employees of local businesses and programs to discuss the child care services needs of the Nation. Membership is also held by staff to the Committees of Minnesota and Tribal Resource and Referral Agencies, Early Intervention Committee and Nutrition Committee by being involved in these committees additional grants and additional funding sources have been identified.

The childcare program has strived to increase the quality, affordability and accessibility to childcare for all children on the Nation. Also, to enhance and promote the health and safety of the environments where childcare is provided by developing Health and Safety Standards that appropriately reflect Tribal needs and available resources. The Oshkiimaajitahdah child care program also licenses and registers all in home providers. Training is made available for parents, staff, community members and providers in the areas of Child Development, Health and Safety, Nutrition, Influenza and Infection Control, Oral Health.
Summer Youth Employment

The 2014 Summer Youth Employment Program was very successful in providing 39 jobs for our economically disadvantaged youth. The youth gained work experience in the areas of maintenance; public roadways and parks cleanup, child care technicians and lakeshore restoration. Other areas of employment included; building maintenance, cashiers, cook helpers, and file clerks.

The community and Tribal programs played an active role in the Summer Youth Program this year by allowing the clients to work their program and gain work experience. The following is a list of the Tribal Programs that participated:

Red lake Boys and Girls Club
Ponemah Boys and girls Club
Red Lake Head Start
St. Mary's Mission Store
Red Lake Elementary
Red Lake Nation College
Chemical Health Motor Pool
Jourdain Perpich Extended Care Facility
Northern winds Treatment Center
Pregnant Women with Children Program

Red Lake Elderly Nutrition Program
Red Lake Builders, Inc.
Red Lake Fire Department
Red Lake Farms
Ponemah Head Start
Red Lake Transfer Station
Red Lake Agency
Red Lake Kindergarten Center
Red Lake Accounting – Maintenance
Food Distribution Program

Supportive Services

Clients who have been without work for any length of time find it necessary to apply for additional assistance to meet those expenses related to obtaining and retaining employment. The majority of requests are for transportation and clothing, we also find it necessary to provide emergency shelter until arrangements can be made.

Supportive services were provided to 79 individuals for expenses related to job acquisition, job retention. Of these 79 individuals receiving supportive services, 50 entered into unsubsidized employment.

Supportive services are approved by the Case Managers and documented by EDP as a barrier to employment or training. The majority of clients for whom supportive services are provided meet the job ready criteria and need a minimal amount of assistance. However, in almost all cases the lack of this support would mean the difference between being able to accept a job or enter classroom training.
Staff Training

The following training was provided to the program staff during this last reporting period:

- Attended the National Employment and Training Conference in Tulsa, Oklahoma
- Staff have enrolled and tested in the National Career Readiness Certificate
- Staff have participated in the Anishishnabe World View class
- Two staff are certified Financial Skills Trainers through Oweesa Corporation and teach classes to clients

Participant Training

A tutor is available to assist and teach basic skills to clients with hands on training in our computer lab. Case Managers and will refer clients to the computer lab for assistance in the FAFSA application, to gain knowledge in basic keyboarding, searching the internet for current job openings, resume preparation and job market information. During this report period a total of 44 clients have been assisted in computer lab.

Orientation: is being provided on a monthly basis to provide a comprehensive orientation to new 477 clients. The orientation will include; the program goals, work activities, support services, program requirements and consequences for non-compliance.

Counseling: Case Managers provide assessment, employment and career counseling services on a one-on-one basis with their clients.

The following training will be provided to clients in the next program year:

1. Job Search Skills Training
2. Job Retention Skills
3. Life Skills
4. Drivers Education
5. Financial Literacy

Other Program Activities

Job Development

The Job Development Specialist continues to maintain an organized listing of available job opportunities. The Job Development Specialist is communicating with local employers to locate new job openings; sell the program clients and 477 services to employers; make referral of job ready clients to appropriate job opportunities.
Plans for the Year 2015

The unemployment rate on the Red Lake Nation continues to remain at over 50%. The Oshkiimaajitahdah program will continue to work with local tribal enterprises to develop Memorandum of Agreements allowing us to make referrals and placements of our clients in appropriate job openings.

The 477 program will continue to work with the local economic development offices and the chambers of commerce in surrounding cities to target employment off the Nation. It is noted that the local unemployment rate of more than 62% dictates a need to access the off Nation job market, which has a current unemployment rate of 6%.

The 102-477 program will utilize the Rite Tracks computer system.

The Red Lake plans to have a TANF program in 2015.

Barriers

Our clients face significant barriers to employment. The Red Lake Nation is rural and the residents are isolated, making transportation an issue. Employers are few and access to jobs is extremely limited and private business is practically non-existent. As a result, clients have had little exposure to workplace requirements and have not developed basic workplace skills.

The Nation as a whole, experiences low educational attainment among its adult population, with the major opportunities for college graduates in education or medical fields.

Oshkiimaajitahdah continues to work with local schools and our summer youth to encourage our youth to finish high school and pursue higher education.

The 477 program would like to fund all individuals who apply for educational assistance opportunities but with limited funding it is impossible to serve every individual who applies for services.

The lack of transportation remains the single largest barrier to employment of Red Lake Nation residents. The tribe has successfully developed and implemented enterprises designed to provide a significant number of entry-level jobs through its Casino operations. However, the real impact of these jobs never materialized as people simply lacked the means to get to work. Attendance is a critical component in the future success of these enterprises and the need for a stable work force frequently meant individuals from off the Nation with independent transportation were hired for the jobs.

The inescapable reality is, individuals who do not have prior work histories or independent family resources do not have access to financial resources to purchase or finance independent vehicles. Although some privately purchased rides have proven successful, most have had dependability issues or been at a cost, which effectively has destroyed the gain of going to work.

The Oshkiimaajitahdah continues to be a major player in providing training opportunities to the people of the Red Lake Tribe Our goal is to promote self-sufficiency and help our clients find and retain employment. Together, we are helping clients find their own jobs and empowering them with the skills that all of us must learn to become self
sufficient. The program will continue to seek Job Creation activities with the intent to increase Economic Development on the Red Lake Nation.