UNITED STATES DEPARTMENT OF THE INTERIOR OFFICE OF THE SECRETARY --000--TRIBAL CONSULTATION Proposed Secretarial Elections Rule (25 CFR 81) Thursday, November 20, 2014 8:30 a.m. - 10:20 a.m. Whitney Oaks Golf Club 2305 Clubhouse Drive Rocklin, California 95765 --000--Reference No. 15298 Reported by: Patricia R. Chapin, CSR No. 13493

Carol Nygard and Associates

Г

1	PRESENTERS:
2	LAUREL IRON CLOUD, Chief, Division of Tribal Government Services, Office of Indian Services
3	SCOTT KEEP, Senior Counsel, Division of Indian Affairs
4	
5	DANELLE J. DAUGHERTY, Deputy Regional Director, Indian Services, Great Plains Regional Office
6	VIOLA BROOKS, Bureau of Indian Affairs, Tribal Government Specialist
7	HARLEY LONG, Bureau of Indian Affairs, Tribal Government
8	Officer
9	JIMMIE A. KING, Social Services Representative
10	000
11	
12	ATTENDEES:
13	LEORA TREPPA DIEGO, Habematolel Pomo of Upper Lake
14	KATHLEEN D. TREPPA, Habematolel Pomo of Upper Lake
15	COLLEEN PETE, Robinson Rancheria
16	LYDIA AGRAYO, Manchester Point Arena Band of Pomo
17	JOSEPH HOLSTEIN, Habematolel Pomo
18	ALISON HARVEY, United Auburn Indian Community
19	LISA ELGIN, Manchester Point Arena Band of Pomo Indians
20	
21	000
22	
23	
24	
25	

Carol Nygard and Associates

1 Rocklin, California November 20, 2014 2 3 --000--4 MS. IRON CLOUD: All right. We'll get started. Good morning, everybody. Thank you all for 5 coming today. We are here, my name is Laurel Iron Cloud, 6 7 and I'm the division chief for tribal government services. We're here today to engage in a formal 8 9 consultation session in regards to 25 CFR, what's 10 currently Section 81 and 82. In the proposed rule, it's 11 just 81, specifically with regard to secretarial elections. 12 13 I'm here today along with my colleague 14 Dani Daugherty, Dani in the blue. She's the deputy 15 regional director from the great plains region. Also with me is Scott Keep, who's senior counsel of the 16 Division of Indian Affairs with the solicitor's office 17 also coming out from DC with me, and Harley Long from 18 19 right here in the Pacific region. He's the tribal 20 government services officer. 21 We're going to go through the process and provide 2.2 some background, some update, where we are, how we got 23 here, and how we got to this proposed rule. But before 24 we get started, since there's just a few people here, I'd 25 like to have you make self-introductions just for the

Carol Nygard and Associates

record.

1

Anytime we have any questions or comments, if 2 3 you'd please remember to identify yourself. We also have court reporter, Pat Chapin, with us, and she would like 4 5 to make sure that she has the proper names to attribute 6 to all of the comments. So I'll just start over here. 7 You have a microphone. Just identify yourself for the record and introduce yourself. 8 9 MR. KING: Jimmie King, social services 10 representative, Southern California. 11 MS. ELGIN: Lisa Elgin, tribal administrator for Manchester Point Arena Band of Pomo Indians. 12 13 MS. AGRAYO: Lydia Agrayo, tribal treasurer for 14 Manchester Point Arena Band of Pomo Indians. 15 MS. PETE: Colleen Pete, Robinson Rancheria tribal member. 16 MS. TREPPA: Kathleen Treppa, Habematolel Pomo of 17 Upper Lake. 18 19 MS. DIEGO: Leora Treppa Diego, Habematolel Pomo 20 of Upper Lake. 21 MS. HARVEY: Alison Harvey, United Auburn Indian 2.2 Community. 23 MS. IRON CLOUD: Thank you all very much. So the 24 process that we're going to go through today is, we'll 25 provide some background, like I said, leading up to how

Carol Nygard and Associates

1 we got to this process, how we got to this draft that is 2 before you right now. And we'll also go through some of 3 the consolidation and some of the work that's been done to bring it into the one part, and we'll talk about the 4 5 major changes. 6 And at each change, we are proposing if you have 7 any questions on each change, feel free to interject and ask questions. We would like this to be interactive. So 8 9 with that, we'll just get started. MR. KEEP: Good morning. I'm Scott Keep. I'm 10 11 the senior counsel in the division of Indian Affairs. 12 We're in Washington DC. My assignment this morning is to 13 try to give you some of the past background on how we got 14 to where we are today on the regulations. I'll also give 15 you a little bit of background on how the regulations developed historically after the passage of the IRA in 16 17 1934. 18 The first regulations came out shortly after the 19 regulations or statute was passed in 1935, and those are 20 available. If anybody wants to look at the original 21 regulations, we can provide that. In those days, the 2.2 Code of Federal Regulations, the CFR, was published to 23 regulate --24 (Reporter interruption.) 25 MR. KEEP: We can provide you with historical

Carol Nygard and Associates

documents about how the regulations developed. 1 2 The last regulation in 1981 was a major 3 turnaround that applied regulations for the first time to both the tribes in the contiguous 48, to Alaska and 4 5 Oklahoma. After that, we had a major legislative event 6 with the amendment of the IRA in 1988 following the 7 Coyote Valley litigation, which put some time limits on the department's processing of requests for the adoption 8 9 of constitutions and the consideration of amendments, both time limits and process limits. 10 11 After the Coyote Valley legislation was passed in 12 November of '88, the department began to look at revising 13 the regulations to bring them up to date with the 14 legislation and the evolving policy as well as the 15 circumstances. I think that from a historical point of 16 view, there was a great evolution, if you will, among 17 tribes and organizations beginning in the late '60s and 18 early '70s with the availability of federal funds. 19 Tribes became much more active in the administration of their governments, and so the requirements for the 20 21 organized tribal government became increasingly 2.2 important. 23 The current proposal that is before you began

23 The current proposal that is before you began 24 probably in the early 2000s, and we came out with 25 proposed regulations in 2009 and conducted, I believe it

Carol Nygard and Associates

1

2

3

was, five consultations in late 2009 and 2010 to consider the proposed revisions to bring the regulations up to date following the '88 amendments.

Those regulations, the proposed ones, were never 4 5 finalized. And instead, a new group was tasked to revise 6 those original proposals, which is what you have before 7 you. That process has been enforced for several years, the result of a great deal of work by Dani and her 8 9 cohorts who went back across the country because it 10 needed to get the expertise from groups in Oklahoma and 11 Alaska and across the nation.

12 Which brings us to where we are today. We are in 13 the third of three consultations, so we're anxious to 14 hear what you all think about the proposed revisions. 15 And to the extent that you have questions, we'll do our 16 best to explain why these changes were made and perhaps 17 why certain other changes were not made.

18 So that's how we got to where we are today. And 19 I'm going to turn it over now to Dani to go through some 20 of the highlights of the particular changes that may be 21 of interest to you.

MS. DAUGHERTY: Good morning. I have to say I come from the (inaudible) reservation. Laurel is from the same reservation. And for a lot of years, we were the poorest county in the nation, and then I think we

Carol Nygard and Associates

1

2

3

4

5

6

7

were like No. 2 or No. 3, depending on the year, but we're still way up there. And to come out and see that a beautiful business like the one that we're presenting in today -- I'm just really pleased about those sorts of things. I love traveling around the country and seeing how different the nations are. So thank you for hosting this consultation.

As Scott mentioned, we have been working on this process for a while. I came into tribal government in 2008, and this process had already been through some permutations. I attended the last round of consultations. And then after those consultations, there was some significant redrafting.

As Scott mentioned, that was the draft that we have before you today. And the reason we're going to highlight some of the bigger changes is that largely there's huge portions of the regulations that have not changed. They've mostly just been reorganized to what we think is a more logical structure.

And the prior Part 81 and Part 82 -- 82 was about petitioning, and 81 was about conducting elections. But 82 also had a lot of parts in it about conducting elections, and we thought well, that really doesn't make any sense at this point because there's a petitioning process to get a petition completed, and at the end of

Carol Nygard and Associates

1 that process once a valid petition is submitted and the 2 election can go forward, then the process is exactly the 3 same as the other process where a tribe's governing body initiates a request for a secretarial election. So we 4 5 thought it would be much clearer to have a section that 6 solely addressed how you conduct an election, and then a 7 distinct section that only addressed how you engage in a petitioning process that leads up to that official 8 9 request for a secretarial election. So we were hoping that would eliminate any confusion about the differences 10 11 between those parts. So that was one of the biggest 12 changes. And then the substance of some of the process 13 has stayed very much the same.

14 So I'm going to try to highlight a couple things 15 for you. But first, people always ask when do secretarial elections apply. And I think sometimes 16 there's people who think they don't apply when they do, 17 18 and that they do apply when they don't. So it's a 19 confusing thing. A secretarial election is a federal election intended to get the, I guess, input of the will 20 21 of the people regarding changes to how the tribe governs 2.2 itself, particularly in relation to the tribe's governing 23 document, which most commonly is a constitution.

And I'm just going to survey everybody in the room. Does your tribe have a constitution? Is that your

Carol Nygard and Associates

1 governing document? Everyone is saying yes. Because 2 there are some tribes that have no governing document at 3 all. They do things still from just how traditionally 4 they managed the nation. And then there's some tribes 5 that have articles of incorporation.

6

Is that right, Scott?

7 In my region, they're all constitutions. So it's 8 fascinating to me how other nations govern themselves in 9 a different way. But you guys are all constitution, so 10 that will help with the conversation, because that's what 11 I'm most familiar with. And honestly, that's what I see 12 the most action on in my region, is amendments to 13 constitutions.

And then there are other proponents where a 14 15 secretarial election applies, and that is in regards to charters. I don't know if your nations also have 16 17 charters under the Indian Organization Act, but it also 18 applies in certain scenarios in there. And if you want 19 to get to the nuts and bolts of that, there's all these fun little permutations of it -- is your charter in place 20 before 1990 or is it a new charter after 1990? And even 21 2.2 if it's a new charter after 1990 where you wouldn't 23 necessarily have to have an election, if you propose 24 language that requires it, it brings you right back in. 25 So if you want to talk about real specifics like that, we

Carol Nygard and Associates

1	certainly can do that without twisting your minds into
2	it. But where we see the most action in secretarial
3	elections is amendments to constitutions.
4	And of course, the other component is sometimes
5	there will be a recognition of the nation or federal
6	statute reinstating a nation, so there will be a
7	requirement by federal law. This is how a nation is
8	going to initiate a new document, its governing document,
9	for the first time. So that might require a secretarial
10	election as well.
11	What this proposed rule does, as I mentioned, is
12	to consolidate and simplify Part 81 and Part 82 into just
13	one Part 81. If you look in the printout of the
14	regulations, it has the subparts, and I'm going to just
15	briefly touch on those, just so you know what those are.
16	But Subpart A describes the purpose and scope. Subpart B
17	is the definitions. Subpart C is the general provisions.
18	And then Subpart D is the process that really gets into
19	the nuts and bolts of the process. Subpart E touches
20	briefly on the Oklahoma Indian Welfare Act, which has a
21	slight variation from how we do business in the rest of
22	the world. And Subpart F is the petition section. So
23	we'll go over some of the changes in each of those areas
24	in more detail.
25	And honestly, even though we're highlighting

Carol Nygard and Associates

1 mainly the significant changes, if you have questions 2 about any part, as we go along or at the end or if you 3 are making commentary either today or later -- and we'll go over in a little bit here how you can do that. 4 You 5 can do it by mail, you can do it by email, you can call 6 Laurel. We'll take submissions up through December 17th 7 of this year. So however you do those comments, it's not 8 limited just to the things that have changed. It covers 9 anything that needs regulation. So even if it's a regulation that looks identical to what was before this 10 11 draft, everything is on the table when a consultation is 12 taking place. So even if it's something that's been in 13 place for decades, if you have a comment on it or a 14 proposed change to it or a concern about it, definitely 15 submit comments on everything in these regulations. So the first major change that we're going to cover is --16 ATTENDEE: Excuse me. Do you have one more of 17 18 these? 19 MS. DAUGHERTY: Oh, yeah. Absolutely. And if 20 you are the kind of person -- I know I'm that way 21 sometimes -- that you want a clean copy and one you can 2.2 write on, write on the one you have now, and you can grab 23 extra copies going out the door. 24 So the first major change is that it establishes 25 that voting is done -- or as it currently stands, the

Carol Nygard and Associates

1	regulations state that voting is done at a polling place
2	or by absentee ballot. As it's proposed, the voting is
3	all done by mail unless the tribe's whatever document
4	governs, and I'll just say constitution for example in
5	a constitution, if you look down typically toward the end
6	of your constitution, there's often an article called
7	amendments, how do I amend this document. And it would
8	be the same is true for a charter. But how that
9	amendment section reads might alter that. So that would
10	be different on a case-by-case basis. We have to look at
11	your specific constitution to answer specific questions.
12	But so the voting will occur by mail unless your
13	constitution or the documents being voted on provides
14	otherwise. One of the reasons for this is that it is
15	always a challenge in Indian country to get voter
16	turnout. I think we've seen that improve over time, both
17	in these types of elections, secretarial elections for
18	documents and for leadership elections, either for the
19	tribe itself or for national elections. But voter
20	turnout still is a concern. And so one of the goals was
21	to hopefully reach more voters and get a higher voter
22	turnout.

Also, in this day and age, we're also definitely seeing some budget challenges, so we wanted to make sure the maximum amount of resources were freed up to provide

Carol Nygard and Associates

1	other services. So there were several reasons. But the
2	major reason we discussed was that we really did want to
3	encourage the highest voter turnout possible. And once
4	again, if your document either has it and you when I
5	say "it," I mean it has a requirement for polling
6	location and you don't want that in there or vice versa,
7	if it doesn't and you do want it in there, that's
8	something that we can tackle at the next secretarial
9	election. Propose a secretarial election to alter it one
10	way or the other.
11	Or the other alternative, which we'll get to in a
12	minute here is the other alternative is this was, I
13	think, a very important change to these documents as
14	well, was that you can take us completely out of the
15	process. The prior draft did not have that in there
16	expressly. We don't have that happen very often, and I
17	can't articulate that that's a surveyed data or talking
18	to the community members, but it's not something that
19	tribes pursue very often to remove us from the
20	secretarial election process by taking all mention of us
21	out of the amendment section of their documents.
22	But these regulations previously did not
23	expressly say you can do that. So I think some people
24	didn't know if it was even possible because it's not

25 covered. But we've made that crystal clear in this

Carol Nygard and Associates

1 version that you can take the secretary out of the 2 amendments process, which I think is the best way to go. 3 I know some tribes have a concern, you know, does that mean we're trying to sever the government relationship, 4 5 and we don't want to do that. But I think from my 6 personal viewpoint, that's where the nations become 7 stronger and stronger, when they're addressing and dealing with all their constitutional amendment changes 8 9 themselves. So I think it's a process. Some are more comfortable doing it than others, but these regulations 10 11 allow for that, so I'm really excited about that language 12 change. MS. HARVEY: Where is that in the section? 13 14 MS. DAUGHERTY: It's the one about the -- if they 15 can't find it -- we did this at the last section too. Somebody would ask a question, and then we flip through 16

17 and find it. Where's the answer to that exact question? 18 So I'd have to stop, and we'll get back to you on that 19 one.

The other proposed change, and Scott may weigh in on this one too. It voids an authorization -- in the current version, it would void an authorization for an election anytime an election was not acted upon within 90 days from the date. That section was removed, and not for any reason to say we weren't going to conduct an

Carol Nygard and Associates

election within 90 days, but more so that we want to be able to continue that process.

3 I know in some regions it has been the possibility to -- and in our region, we never go beyond 4 5 the 90 days unless a tribe asks for it. And the times we 6 get a request for it is when the tribe wants to do more voter education. So sometimes they'll have an election 7 scheduled and they'll say we haven't sent out the mailing 8 9 materials yet and we want to get permission to go beyond that and do an extra month or two of voter education or 10 11 more time to secure our voter lists in a more concise 12 way. So that was one of the reasons.

13

1

2

And Scott do you have any comment?

14 MR. KEEP: I'm not sure I can add much to it. Ι 15 think that some of the tribes are more spread out and they need additional time to educate their tribal members 16 17 as well as it may be that we're having trouble making 18 sure that we have a reasonably complete voter list so 19 that we have a list of folks that we need to give notice 20 to of the need to register to vote. So those are some of 21 the things, I think. So if a tribe hasn't had an 2.2 election in a number of years that they have required 23 registration for, we don't have current addresses or locations for all the tribal members. Some of them are 24 25 things that sort of require some additional time.

Carol Nygard and Associates

1 The reason for the expiration from at least some 2 of the concerns in the solicitor's office is that 3 sometimes they go stale. And so particularly with regard to any petition where it takes a long time for the 4 5 petition to circulate and get the number of signatures 6 and to verify those signatures, some of that process needs additional time. 7 MS. DAUGHERTY: Thanks, Scott. 8 9 And I think I found the section. I knew it was in there. It's 81.2. And I have it in through E through 10 11 Η. I'm not sure what precise part of this, whether it's 12 E, F, G, or H. And then here's the one big change that I think I 13 personally also believe in, and on behalf of the 14 15 department and tribes, is one of the best changes to this process. There was a lot of confusion about it, and I 16 17 think it really heralds this new era of how we're working 18 really collaboratively with tribes to prepare and put 19 forth some of the best document reviews. I've been 20 really blessed to be a part of this process since 2008, 21 and it's just my goal to make sure that these processes 2.2 happen properly and then also to make sure we end up with 23 the best documents possible.

24 So this section is the definition of a tribal 25 request, because there was -- okay. Anyway, the tribal

Carol Nygard and Associates

1 request -- here's what would happen typically in these 2 processes. When you hear that the election occurs in 3 90 days, what would often happen is a tribe would pass a resolution, and the resolution would come to the office. 4 5 And the tribe would say okay, let's have the election in 6 90 days. And sometimes that resolution would be very 7 sparse; it would say things like we want to change the enrollment criteria from a quarter to an eighth, or in 8 9 our region we've seen it from an eighth to a quarter as far as the quantum requirement, and then it wouldn't say 10 11 anything else. Or that we want to increase the 12 prohibition on running for office from two years to 13 ten years.

14 So then we would have to communicate back to the 15 tribe and say well, what we really need is -- here's your 16 constitution, the precise language that's in your 17 governing document, and what we need is what is the 18 precise language that you want to replace some section 19 with, whether it's in the membership criteria or the leadership criteria as far as who's eligible for a 20 candidate to run for office. And so then the tribe would 21 2.2 say oh, okay, well, we haven't really worked on the 23 precise language. So then they'd sit down with their attorneys and have discussions. And all of that effort 24 25 is eating up time. So then the tribe would come back

Carol Nygard and Associates

1 maybe in a week or two, and then we'd have to stop and 2 look at the language. All the while, everyone is 3 concerned is the clock ticking, is it not ticking. And from our perspective, we would say well, we 4 5 really can't send out a mailing packet until -- because 6 we have to send out the mailing packet from the bureau's 7 side. We can't send out a mailing packet until we can actually tell the voters precisely what they're voting 8 9 on. So in some cases, the tribe wouldn't get back, you know, for 30 days or 60 days, which is totally 10 11 understandable from my perspective. If I'm changing my 12 governing document, I want it to be precisely exactly 13 what I want, so I'm not going to complain if they take a 14 long time to do that work. You take a long time because 15 this is such an important thing; it's your document that guides your entire nation, and it's making important 16 17 changes that affect your leadership and your membership. 18 So there was some confusion between us working 19 together that when did that 90 days start. So from our 20 perspective, we have to send out the mailing packet and 21 we want that precise language in there that we 2.2 recommended that there be three requirements for an 23 official tribal request. That way we knew you were ready 24 to go and that you knew that you had precisely what the 25 people were going to be voting on going out to them so

Carol Nygard and Associates

1 they fully understood what this election was about. 2 So a tribal request now is defined, and it 3 requires three things from the tribal nation. The first is a duly-adopted resolution. And once again, I'll 4 5 survey the crowd. Do you guys all work with resolutions? Nobody does anything differently than a resolution. 6 7 There are some tribes that have a general counsel, and they do a voice vote or things like that. They might 8 9 call a resolution something different. Whatever the governing body's way of expressing its intent, then we 10 11 have to do that. And in our case, it sounds like it's a resolution. 12 13 Then the other possibility, of course, is the 14 petitioning process. And once again, you really have to

15 look at your amendment section for this as well because not every tribe allows a petitioning process. Some do, 16 17 and some don't. I like petitioning processes myself 18 personally because it gives the people a chance to 19 express themselves and to propose changes to the governing documents. But sometimes the document just 20 21 doesn't have it. But if it does have a petitioning 2.2 process, then that's the other methodology that you can 23 see a request for secretarial election coming forth. So 24 you have either a petition or a resolution.

So the second part of the tribal request is, as I

Carol Nygard and Associates

(916) 928-8999

1 already mentioned, the exact document or amended language 2 to be voted on. Or sometimes it's a removal too. It's 3 not that you're proposing a language change; you're actually proposing to take a whole section out or a whole 4 5 article out, which I have seen done. So it might be 6 this: This is what the language used to say, and we want 7 that gone. So that's another exact language change you 8 might see.

9 And then the last thing for tribal request -- so No. 1 is the resolution or a valid petition. No. 2 is 10 11 the exact language changes to be voted on. And No. 3 is 12 a list of tribal members. They have to be 18 years of 13 age or older within 120 days of the date of the tribal 14 That's because that will be the list of people request. 15 that we send the mailing packet out to and the registration packet out to. 16

And this is just my side tip. If you are going to be conducting a secretarial election any time in the future, it is always a good idea to start as soon as the thought appears in your head and you're thinking about doing it, to start working right away with your membership to update their mailing list. Because that's one thing we see in our region.

Harley, I don't know if you have done any elections.

Carol Nygard and Associates

1 I know that's one thing I see a lot in my region, 2 is a lot of return mail. And that's -- I mean people 3 move a lot. That's just the nature. We're very -- I think even though they always say the Plains Indians were 4 5 the nomadic ones, I think we're all nomadic now. It's just such a different society. We have access to so much 6 7 more transportation. So that's definitely an issue. So I would say if you're going to start on this process at 8 9 all, definitely consider doing some sort of publicity drive to get people to update their mailing list so that, 10 11 once again, you maximize voter participation in these 12 important decisions. 13 So that's the third thing, a voters list from the tribe. And we request that it be submitted 14 15 electronically because it is just easier to create a mailing list and labels, envelopes, to mail things out to 16 people if the list comes in electronically. It just 17 18 expedites things and assures that you'll get a really 19 good voter mailing that goes out with maximum 20 participation. 21 So that was what we suggested be the components 2.2 of a tribal request so that when we got a request, it was 23 ready to go and you could easily achieve that election in

the 90 days that you're seeking to have the election 25 within.

(916) 928-8999

Carol Nygard and Associates

1 So then the other component here, I'll just touch 2 on real briefly, is as far as the exact language, one of 3 the things we see more often in our region is tribes will submit that language to us even before they do a 4 5 resolution and just ask us is there anything we should 6 watch out for in this language. So we even have started 7 to work with the tribes in our region in advance of a resolution to give them some pointers on what might be 8 9 some of the challenges. The most common error I see or challenge I see is 10 11 a tribe will focus in on I want to change this article or 12 this section, and they'll make the change, and then 13 whoever is reviewing it or working on it with them 14 doesn't take that change and then check through the whole 15 constitution, and there's usually sometimes connected references or cross-references that are also affected by 16 that change. That's the most common one I've seen. 17 And 18 if we can catch that for you in advance, that just speeds 19 up the process before you get to the resolution stage. 20 But we'll give you back a technical comment letter in 21 writing no matter what if you give it to us in advance or 2.2 just bring it in with the resolution, you'll get a 23 technical comment letter. And that hasn't changed from 24 the prior regulation.

The other -- before I go on, does anyone have any

Carol Nygard and Associates

(916) 928-8999

1 questions about that item? That really is the biggest 2 change. And once again, even if you don't have a comment 3 here, if you're thinking this through and you want to submit something saying you think it's a good idea or 4 5 you'd like to see it altered further, you can do that at 6 a later date too. But does anybody have any questions 7 about that one? Okay. That's fine. I'm kind of one of those people -- I'm listening, and then I go home and all 8 9 these thoughts occur to me. So then that's the time to write them down and submit them. 10 11 One of the other big changes is -- and this came 12 out of my region. We actually had this issue, and we 13 didn't know what to do with it. That's been the 14 challenge that sometimes the regulations will have a gap 15 in them, and somebody will say well, what about this, and there's no answer. So Scott and I have been on more than 16 one call on a variety of things where there really is no 17 answer or guidance, and we try to figure out what's the 18 19 most reasonable thing to do that's most deferential to the tribe's authority but still is a reasonable answer to 20 21 the question. So this is why this particular change was 2.2 proposed, because this issue came up and we had no 23 answer. So we thought there had to be some guidance in 24 the regulations.

What came up in this case was, we had a tribal

Carol Nygard and Associates

(916) 928-8999

1 nation that engaged in the election process. And this 2 really is a question about balancing the tribe's 3 authority with the will of the people. And I'm not saying this answer is the right answer, so I really do 4 5 welcome some commentary from all of you in this regard. 6 But what happened was, the election started, the tribe 7 gave us all the information, and we got the language, we got the list, we did the mailing, people registered to 8 9 vote, the election was literally within a week away. 10 The election was ready to go, everyone was ready 11 to vote, people had registered so we knew they wanted to 12 vote. And here the tribe stepped up and said we want to 13 cancel the election. And we did not know what to do 14 because the voters had expressed their intent. There was 15 nothing in the language about it. And so what we ended up doing was just proceeding with the election. And the 16 tribe was okay with that at some point, but we honestly 17 did not know what to do in those circumstances. But I 18 19 guess what we basically considered was the fact that so many people had registered, they had said we want to 20 21 vote, and we felt they should be given that opportunity. 2.2 Once again, don't know if that was the correct decision 23 standing here, but we had to do something in the absence

24

25

So this has some guidance. And what that

Carol Nygard and Associates

of language.

quidance is, is basically mirroring what I just told you. 1 2 At some point, the election will go forward, and that 3 point here is -- that's in Section 81.17. It prohibits withdrawing a request for a secretarial election after 4 5 the deadline for registration to vote has passed. And I 6 understand this is -- it's kind of tricky. If you're 7 from a tribe's perspective and you're a governing body, I 8 can see why you would want to say what do you mean, I'm a 9 tribal nation, I should be able to control this process 10 right to the end. And then you have to weigh that 11 against the member's intent, their interest in being 12 allowed the opportunity to vote on that election once 13 they've been offered that opportunity and registered to 14 take part in that. We welcome your comments because I 15 honestly don't know if that's the right answer. MS. HARVEY: Alison Harvey. What if it was in an 16 election that was from a petition rather than the 17 18 tribal... 19 MS. DAUGHERTY: This makes no distinction. So if 20 it's a -- you know, I can see possibly having that 21 distinction. That's a great point. To say this is true

if it's a petition, but a nation itself can withdraw it 23 if it's from a resolution. I think that's a really valid 24 point.

MS. HARVEY: Because you could have people

Carol Nygard and Associates

(916) 928-8999

2.2

1 submitting a petition, and then, what if the tribal 2 counsel requests to have it canceled? 3 MS. DAUGHERTY: Exactly. This would protect from that, but now that you're saying that, this variation 4 pops into my head that possibly to do with having a 5 6 divergent way of doing it, different for petitions versus 7 a resolution or just leaving it as it is and protecting the right to vote regardless of how the election was 8 9 initiated. 10 MS. IRON CLOUD: As it reads, it says in the same manner. So you would then wait for the spokesperson, the 11 12 same process that would have been brought forward by a 13 spokesperson. That's the same manner. So it wouldn't 14 be -- I'm not going to -- I'm going to look at Scott and 15 ask him. MS. DAUGHERTY: I'm saying it says in the same 16 manner. You would think for it to halt, and then the 17 petitioners would have to report -- Scott, is that your 18 19 interpretation? MR. KEEP: Yeah. I think that's the 20 21 interpretation. 2.2 MS. DAUGHERTY: Okay. 23 MS. HARVEY: So if 20 percent of the people ask, 24 do the same 20 percent of the people then have to 25 withdraw? Or some other 20 percent?

Carol Nygard and Associates

1 MS. DAUGHERTY: That's a good question. That's 2 why the consultation process is so important, because it 3 helps us address clarification questions. So that's 4 great. 5 MR. KEEP: That is a good question. All right. 6 The existing regulations on a petition requires 7 60 percent. So the secretary wanted to lower that percentage, and then lowering that percentage has created 8 9 sort of this additional issue that might not have existed. So that's one we hadn't thought of. All right? 10 11 I appreciate you raising it. I think we have to address it. 12 13 MS. DAUGHERTY: Definitely. 14 When you have a question, if you could introduce 15 yourself for our court reporter so she can take your name down. 16 17 Joseph Holstein. My question was MR. HOLSTEIN: 18 so if it was petitioned in after this change, would the 19 percentage of petition basically change? So if you have 20 60 percent petition and then change the resolution, after 21 this change, is it going to require 20 percent? 2.2 MS. DAUGHERTY: It was billed 20 percent. The 23 60 percent is in regards to new documents. But sometimes 24 if you look in the amendment section of the document that 25 you're addressing, the minimum is 20 percent. That's

Carol Nygard and Associates

1	sort of the default. But sometimes tribal constitutions
2	or other governing documents will have higher percentage.
3	So you just have to look there as well.
4	MS. HARVEY: And then that would govern, that
5	percentage, in the constitution?
6	MS. DAUGHERTY: If it's higher.
7	MS. IRON CLOUD: You just can't go lower.
8	MS. DAUGHERTY: Yes.
9	MR. KEEP: And I think we really have to look at
10	that sort of that's a new twist because even if it's
11	20 percent and you got a request for 20 percent, but then
12	30 percent come in and say we want to revoke or we want
13	it canceled, we have got an issue as well as the
14	30 percent requested and then 20 percent come in and say
15	we don't want it to go forward. And again, it's
16	indicating 20 percent is the minimum. It's a twist that
17	really hasn't been fully explained and explored. And
18	these are important questions that we need to $$
19	MS. DAUGHERTY: Well, and we do have language
20	that was not altered because I laughed a little bit
21	because I heard somebody say that sounds like my tribe or
22	that sounds like your tribe. And that really is an
23	issue. I mean we do have leadership challenges to
24	nations around the country, and you see competing
25	factions. That's not an unusual thing; that's true of

Carol Nygard and Associates

politics everywhere.

1

2 And so the language that hasn't changed that 3 addresses this issue -- and I can find it. If you have somebody who is challenging a petition on a section and 4 5 you have somebody who disagrees with that so they might 6 say oh, we're going to do a petition on the same 7 section -- there is language in here, and I'll find it for you, because we've had this issue before. If you 8 9 have a proposal, even from the council itself, and then 10 another proposal with the same exact section, that is 11 required that the first proposal a hundred percent play 12 itself out, election all the way to the end, before you 13 can take action on the next request. So there is some 14 protection in here already for that. And we have seen it 15 that there's been really close to back-to-back elections 16 on the same article. But the first request, the first valid request, plays itself out before the second one can 17 18 be acted upon.

MS. DIEGO: Leora Diego. First of all, I'd like to thank the bureau for this opportunity and the consultation process. I would like to make a formal statement in regards to this issue that we're talking about. Addressing tribes who have -- because in our constitution, we have the executive council or tribal council can do a request for secretarial election. Plus

Carol Nygard and Associates

1 we also have a petition process. So I would just like to see that both of those are addressed so it's clear on 2 3 what that process is going to be. MS. DAUGHERTY: Okay. 4 5 MR. HOLSTEIN: Joseph Holstein. And I just 6 wanted to clarify that the amendment was petitioned and 7 it could be revoked by council. 8 MS. DAUGHERTY: The language -- the way we interpret that is that petitioners would have to request 9 to have that withdrawn. And according to the language, 10 11 as it's written now, before the day to register to vote 12 as proposed. 13 Anybody have any other questions on that 14 particular issue? 15 MS. DIEGO: Leora Treppa Diego. I just had a question on the registration deadline. So is that a date 16 that comes from the bureau? 17 18 MS. DAUGHERTY: Where that date actually comes 19 from is this -- well, that's good. It helps explain 20 what's going on. Let's say you turn in your official 21 tribal request and you have the exact language and you 2.2 have a resolution or a petition. So we get that, we go 23 through any technical assistance process. And then okay, 24 we're good to go. Then the regional director -- it's 25 called the authorizing official in the language, but what

Carol Nygard and Associates

1	it really means in almost every case is the regional
2	director. The regional director will issue a letter to
3	the superintendant typically. It says the local bureau
4	official, which may be different in some cases, but
5	almost always again, it's the superintendant. They issue
6	a memo to the superintendant, and it says superintendant,
7	we have a request, it's a valid request, we're
8	authorizing you to conduct an election in 90 days.
9	And so once you have that, then that local bureau
10	official, typically the superintendant, will ask the
11	tribe. I have ten days, we've got to keep this process
12	moving, who do you want to put on the secretarial
13	election board. So the tribe will, almost always but
14	sometimes not, give us two people that will participate
15	in that board to then conduct the election.
16	The first thing that board does is sit down to
17	meet to establish the process, and they back things out
18	according to the time. But what they typically do is say
19	hey, we need to meet the 90-day deadline, so the election
20	needs to occur on or before this date, so let's set an
21	election date. And then they will go backwards. The
22	board does that all on their own. They say when do we
23	need to have the registration deadline, when do we need
24	to have our posting of the registered voters list, when
25	do we need to stop challenges to the registered voter

Carol Nygard and Associates

1	list. They put all those dates together. We participate
2	in that process because one of our staff is on that
3	board, but it's really up to that board including the two
4	members from the tribe to establish what are these
5	deadlines leading up to the election.
6	MS. HARVEY: Alison Harvey. On that question of
7	the board, as you know we had a secretarial election last
8	year. And there was confusion concerning who appointed
9	the two people because it just says the tribe, and it
10	doesn't say the tribe's governing body.
11	MS. DAUGHERTY: Okay. That's a very valid point.
12	MS. HARVEY: So there was a whole lot of haggling
13	going on about who got to do that.
14	MS. DAUGHERTY: Right. And I'll bet you they
15	said well, our election board or members of the election
16	staff should get to do it or the president should get to
17	do it. I supposed we could say from the governing body.
18	The challenge with that in all of this with the timeline,
19	sometimes the governing body doesn't get together if they
20	don't have an executive committee, so we might have to
21	consider that. But that's a very good point. I'm glad
22	that you expressed that that issue happened because we
23	need to possibly put some language that addresses that
24	gap. It is a gap as you have described.
25	MS. HARVEY: And further on that same issue,

Carol Nygard and Associates

1	there was they would like to see clarity that elected
2	tribal officials and any type of member can serve on that
3	election board because there was confusion over that.
4	MS. DAUGHERTY: I think that
5	MS. HARVEY: That any elected tribal official,
6	appointed tribal official, or member of the tribe.
7	MS. IRON CLOUD: I think the way this is an
8	umbrella members. So you wouldn't have a it wouldn't
9	be an issue with an elected official. Right?
10	MS. HARVEY: Well, there was.
11	MS. IRON CLOUD: Because that is a member.
12	MR. KEEP: Yeah. If there's a question out
13	there, we can further clarify it; that is, by tribal
14	member, that's the only requirement. That doesn't
15	disqualify anybody. There's no disqualification there.
16	And in some instances, you might have some things that a
17	tribal officer couldn't serve in a dual capacity, if you
18	will. But in the absence of any provision in the tribal
19	constitution that prohibits their officers from serving
20	in other capacities, our regulations wouldn't impose that
21	requirement. We can clarify that.
22	MS. IRON CLOUD: Absolutely.
23	MS. DAUGHERTY: Thank you. This is a real
24	consultation process, because I guarantee you, even when
25	we're all done with this and we all approve of a final

Carol Nygard and Associates

1 version and publish it, there's going to be issues that 2 come up where you find another gap. That's just the 3 nature of rulemaking and lawmaking. You think you have got everything covered, and I'm always -- I'm always not 4 5 surprised at how something comes up, and we're like why didn't we think of that; it's so obvious. Thank you very 6 7 much. Does anybody else have any other comments on that 8 subject? Okay.

9 So the next change -- and this is -- you know, it affects Oklahoma more than anybody. It's something 10 11 that's the same type of thing as I discussed earlier. We 12 sort of knew that it was a component, but it was never 13 expressly stated within the regulations, but there is a 14 difference between how elections are conducted in 15 Oklahoma because of the Oklahoma Indian Welfare Act, and how they're conducted everywhere else. So this new 16 version of the regulation expressly describes that. 17

18 And it's really just a process that everywhere 19 else in the world, you call the election, the election 20 happens, it comes up typically to the regional director, and he checks to make sure the election was conducted 21 2.2 properly, met the required percentages, and then approves 23 the document. And Oklahoma is just out of order 24 slightly. The document is approved, the election occurs, 25 and so then when the regional director looks at things

Carol Nygard and Associates

1

2

3

4

5

after the election has occurred, he's only checking if the election was conducted properly because the document is already approved. It's just an order thing. If Scott wants to weigh in on why that is, I'll let him. Because it's in the Oklahoma Indian Welfare Act.

6 MR. KEEP: I'm not sure it really matters to you all because I think that in the 1988 amendments to 7 Section 16 of the IRA, it's clear that what has to happen 8 9 under the IRA is that the tribe has a right to vote on 10 the constitution before the secretary approves it. And 11 after the tribe has adopted the constitution, it's then 12 that the secretary does his approval or disapproves it if 13 he has advised the tribe ahead of time. But that's a quirk as Dani pointed out. 14

15 We tried to research exactly why it is that Oklahoma was done differently, and we don't really have a 16 good explanation other than it was interpreted by the 17 18 department when the Welfare Act was passed in 1937 to 19 require approval with the ratification by a vote of the 20 members afterward. We think it's more appropriate for 21 the secretary to defer approving or disproving a 2.2 constitution until after tribal membership has voted. 23 But the Oklahoma Indian Welfare Act suggests it should be 24 done otherwise in Oklahoma.

MS. DAUGHERTY: And that's one of those things we

Carol Nygard and Associates

(916) 928-8999

1 think, oh, let's just clean it up. But we can't because 2 it's a law. The regulations can't alter the law, and the 3 Oklahoma Indian Welfare Act is a law, and the regulations have to follow it. So like Scott said, it's not 4 5 something that Indian nations here need be concerned 6 about. Maybe for some reason I'll eat those words, but 7 it's just a component in the regulations that wasn't before. 8

And then the last major change -- and this, once 9 again, is where we're seeking input. It really is a very 10 11 unique situation type of change; it rarely happens. So 12 it's an area where we don't see tons of traffic, and so it's just unique; it's a unique situation. This is a 13 14 situation where a secretarial election is being conducted 15 to adopt a governing document under a federal statute for the first time. So for any nation that already has a 16 constitution, this would not be an issue. So it is 17 18 really a unique circumstance.

And you can tell, Scott, where you most likely see this, is if there's a federal statute for the first time. Is that probably the most common?

22 MR. KEEP: I'm not sure there are very many at 23 all right now. As I indicated in my opening remarks, in 24 the '60s and early '70s, tribes that had not maybe paid 25 much attention to organizing tribal governments began to

Carol Nygard and Associates

Consultation on Secretarial Elections,

1	reorganize and adopt formal governing documents, where in
2	many instances particularly it was common out here in
3	California, a lot of groups had articles of association
4	more in the nature of a homeowners association they
5	started to adopt a formal constitution. So we've really
6	had I think if you looked at it from a historian's
7	point of view, you'll see there's been a major evolution
8	in Indian tribal governments from the late '60s to now.
9	In the last 40 years, tribes have become very
10	sophisticated in their governing documents and what they
11	want. And we don't have very many instances of tribes
12	that had no formal governing document or tradition.
13	There's very few.

14 MS. DAUGHERTY: So this really is a very unique 15 circumstance. But the prior regulations required at 16 least 60 percent of the tribal members or proposed tribal 17 members to petition to establish that document for the 18 first time. The new regulations propose to lower that 19 number to 20 percent. And I can guarantee you, even at this table and away from this table, there was all sorts 20 21 of debate about what is the right percentage. You know, 2.2 is it higher than 60 percent, is it majority plus one, is 23 it 51 percent, is it 20 percent, is it 30 percent? We don't know. 24

25

One of the reasons 20 percent was suggested was

Carol Nygard and Associates

to ensure that if members of the nation wanted to 1 2 initiate that process, they would have a chance to and be 3 able to meet the threshold because we do see some challenges with voter turnout and participation. So this 4 5 is really narrow. We're seeking input, but we're seeking 6 input for a really unique circumstance that probably 7 won't happen to most of us. But we do value any input 8 you might have on that. 9 MR. KEEP: I wanted to maybe elaborate a little bit more. Where we've seen it most commonly is in New 10 11 Mexico with the Pueblos and New York where we have -- we don't -- a lot of those tribes do not have written 12 13 governing documents but they have very strong traditional 14 government. And a lot of tribes also have a custom of 15 government by consensus so that they don't do voting at all. 16 17 So we're trying to accommodate the opportunity 18 for tribes that haven't currently organized a formal 19 written governing document to get one of those. It would be concerned that we don't want to be the vehicle for 20 21 overthrowing, if you will, an established tribal

government. So there are -- it's going to have a traditional government, so it's going to have relatively low application.

MS. HARVEY: So does this current threshold only

Carol Nygard and Associates

(916) 928-8999

25

1 apply when they're doing the first-time document? What 2 about amendments to existing documents? Is there a 3 threshold? MS. DAUGHERTY: There is. The fallback is 4 5 30 percent. 6 MS. IRON CLOUD: Or less. 7 MS. DAUGHERTY: Here's the deal. Let me correct 8 that to be totally accurate. When you come forward to do an amendment, you do either a resolution from the tribe 9 10 or a petition. The petition is 20 percent. 11 MS. HARVEY: And that's currently? 12 MS. DAUGHERTY: And that's currently in the 13 proposal. So then the only reason it would be different 14 from the 20 percent for a petition is if it's in the 15 amendment section of the document that you're voting on that it's higher than that. 16 17 I misspoke when I said the 30 percent. The 30 18 percent would be the default percentage of the 19 participation of registered voters, but when you're talking about the first instance when you're trying to --20 21 say we're bringing forth an action to amend a document, 2.2 it would be different. It would be the 20 percent for a 23 petition or a resolution from the governing body. And the 20 percent of the petition would not -- it would be 24 25 required unless the amendment section required a higher

Carol Nygard and Associates

1 petition percentage. I've seen it be 30 percent in some 2 of our nations. 3 MS. HARVEY: But it's 20 percent currently in the regulation, and we're not changing that for the 4 5 amendments? 6 MS. DAUGHERTY: No, not for amendments. This is 7 only for the first time. That's why it's such an odd and 8 unique one. 9 And I'm not trying to cut you off. I just want to make sure I get this in there for the record for you 10 11 guys. I'm not trying to cut off communication, but if 12 you walk away from here after we do any additional 13 questions you might have and you want to submit comments, 14 there are a number of ways you can do that. You all have 15 this handout here. You can go to www.regulations.gov. You have Laurel Iron Cloud's email address, 16 laurel.ironcloud@bia.gov. You have Laurel's phone 17 18 number. She can provide you -- answer any questions you 19 have. And then also, within this federal register 20 publication, all the contact info is in there, including 21 the address for Laurel as well, and you can write a 2.2 document and submit it by mail. So you can do it on the 23 regulations.gov website, by email, by mail, any of those 24 mechanisms to submit any additional comments after today. 25 So I just wanted to get that in there. I'm not trying to

Carol Nygard and Associates

cut anybody off.

1

Because now, if you have any other questions about the changes we just talked about, the regulations as they're proposed, how they were before, any other thoughts or ideas or questions you have about the proposed regulations.

7 MS. HARVEY: Alison Harvey again. With our 8 election last year, the government shut down prior to the 9 election. And we almost weren't able to do it, and it 10 was a very important election for our members. And so 11 the question is, is there any procedure where a tribe can 12 request a waiver from some of the timing deadlines? We 13 didn't have an operating BIA post that qualified voters list at that time. 14

MS. DAUGHERTY: You know, in the present budgetenvironment, maybe we do need to address this.

MS. HARVEY: Yeah. It was an emergencysituation.

MS. DAUGHERTY: Last year they weren't conducting elections during the time period when we were in the government shutdown. And so then there became an unavailability of bureau staff to deal with some of the issues. So the question is: Do we need to have a section that addresses that possibility that maybe even says something to the effect that the time is told and

Carol Nygard and Associates

1 that the tribe might have some -- that the board has to 2 sit down after that time period and, you know, maybe 3 reinstitute the timelines or that the bureau would always 4 retain staff and be able to continue the election process 5 no matter what.

6 MS. HARVEY: They were prohibited from actually 7 showing up to work.

MR. LONG: There was still essential staff at the 8 9 office. In that situation, and I don't remember that specifically, there was a lot of conversation about that. 10 11 And what we talked about then is what should have 12 happened. And there isn't an allowance for the regional 13 director to extend the time, and we should have just 14 extended the time and posted it and just extended the 15 election out by the 17 days or whatever it was that was 16 delayed.

MS. HARVEY: So that possibility is still retained in this?

MS. DAUGHERTY: I know what we do in our region is endorse that and that's definitely something we'll consider as we're finishing these up. What has happened in our region is in a different scenario, and I described it earlier. Tribes have said can we extend our deadline, we want to extend the deadline and put it in writing to request it. And we allow that. And the only reason that

Carol Nygard and Associates

I've seen them do it is twice in my years since 2008, is 1 2 because they wanted to do more voter education, they 3 wanted more time to talk about the changes. So I don't see why that we couldn't put it in there for other 4 5 reasons. And I don't know that we have to articulate 6 exactly why. 7 But definitely, you're right, that is a concern; it's a real concern. I know there is some communication 8 right now about that possibility when our continuing 9 resolution expires next month. So maybe it's something 10 11 we need to address, so we'll definitely consider your 12 comments. What is your nation again, so we can monitor 13 that. 14 MS. HARVEY: United Auburn Indian Community. 15 MS. DAUGHERTY: Okay. So what we need maybe is 16 to get information from that time period too from the 17 actual secretarial election to consider as we draft this 18 and see what happened as that shutdown occurred precisely 19 so we can see how to prevent problems like that. 20 MS. HARVEY: And the question was actually would 21 it be possible to waive the deadline as opposed to 2.2 extending it. 23

MS. DAUGHERTY: We're drafting the regulations
right now in this consultation. Anything is possible.
MR. KEEP: There's a general provision in the

Carol Nygard and Associates

2

3

4

5

Code of Federal Regulations for Indian Affairs, and it authorizes the assistant secretary to weigh the regulations where it's in the best interest of the Indians.

The difficulty here is the time deadlines that 6 we're talking about are ones that are statutory. And to 7 the extent that we're running up against a statutory 8 deadline, the assistant secretary doesn't have the option 9 of waiving those. That's where the crunch comes, it 10 seems to me.

11 But between the bureau and the tribe, it seems to 12 me there's also an easy answer. And the easy answer is 13 simply to ask the tribe to submit a new second request 14 that would restart the time limit. There are a number of 15 ways to work around it, it seems to me.

16 The difficulty for the assistant secretary is that somebody is going to say wait, you're in violation 17 18 of the 1988 amendments of the IRA that require you as a 19 matter of statute to hold the election within 90 days of 20 receiving appropriate tribal requests. So once that is 21 triggered, all right, the assistant secretary doesn't 2.2 have an option no matter what the emergency is.

23 The way to get around it is to work with the 24 tribe, to have the tribe submit to restart that 90-day 25 period on mutually-agreeable terms. I think that that

Carol Nygard and Associates

2	problem is. If it's a deadline in our regulations, the
3	assistant secretary doesn't need to put a particular
4	provision in these regulations. He can use the general
5	waiver authority. But if it's a statutory timeframe,
6	he's got to work with the tribe to figure it out.
7	MS. HARVEY: So these deadlines like the posting
8	of the list those are statutory?
9	MR. KEEP: No. Those are all interim ones that
10	the bureau has set in order to meet its statutory
11	deadline. Really the only statutory deadlines are the
12	90 days for calling an election on amendment of a
13	constitution or 180 days on a new constitution and the
14	45 days for approval after the election. I think those
15	are the only three.
16	MS. IRON CLOUD: Yeah, you're right. The other
17	deadlines, the posting, those are procedural to make sure
18	that the final statutory deadline is met.
19	MS. DAUGHERTY: Thank you for your comments.
20	That's something we definitely have to think about.
21	Are there any other questions? Any comments,
22	anything?
23	MS. BROOKS: My name is Viola. And I know I
24	missed the first part of this, but about polling and not
25	necessarily requiring a polling place, what I have

Carol Nygard and Associates

witnessed with some other elections is that absentee 1 2 ballots not being counted because they don't come in by 3 the date. So is it the postmarked date? Or it's clear in the regulations that it's, you know, received by close 4 5 of business? And then what happens if the election 6 committee selects to have the election on a Saturday or a 7 Sunday? And how would that impact the absentee ballots being received through the mail? 8

9 MS. DAUGHERTY: If you look at the regulations, 10 just to be clear, absentee ballots are only required or 11 needed if there is a polling place. As the regulations 12 stand now, it would be primarily by mail unless the 13 amendment section of the document calls for polling 14 sites. So if you don't have the polling sites, you are 15 not going to have absentee ballots.

16 But if you do have that situation where you have absentee ballots, those are part of the deadlines that 17 18 are set. It's the deadline to get absentee ballots out. 19 And it's expressed, and that's been, I guess, backed up. But there are absentee ballots that won't be counted 20 21 because they come in too late. That's just a 2.2 requirement. People hang onto them and send them in 23 later sometimes. So that's why it's really important at 24 the agency level, and we train our agency staff that when 25 that stuff comes in, you time-stamp it so that everyone

Carol Nygard and Associates

Consultation on Secretarial Elections,

1 knows when this was received. And if you know that the 2 regulation in there say about the weekends and holidays, 3 there's typically hard and fast extra challenges. But there's a hard and fast deadline to receive absentee 4 5 ballots to vote and then also to receive registration. 6 Those deadlines are deadlines. And if we time-stamp all 7 of those things at the agency level, you know this is a valid vote that came in and this is not. 8 9 MS. BROOKS: And then if they -- if the valid vote -- if we got rid of the (inaudible) ballots and so 10 11 that would be considered a spoiled ballot if it was received late? 12 13 MS. DAUGHERTY: No, because it's after the 14 deadline. Anything after the deadline would not be 15 counted. MS. ELGIN: Lisa Elgin. I just had questions 16 about the process for -- say you want to amend -- or we 17 don't have an election ordinance that we need, so we need 18 19 a secretarial election done to change or make an ordinance? 20 21 MS. DAUGHERTY: No. Secretarial elections are 2.2 federal elections that are only required under -- when 23 does the rule apply? Your own ordinances, your own 24 leadership elections -- those are all internal tribal 25 processes. I suppose -- I'm not going to totally

Carol Nygard and Associates

1	discount it because there might be a tribe out there that
2	says hey, we want this ordinance to be approved by a
3	secretarial election. I haven't seen it. And honestly,
4	if a tribe proposed it, we might resist that because
5	there's only so many limited resources. We can't be
6	throwing elections for every little thing under the sun.
7	But no, nations passing their own laws, nations having
8	referendums on resolutions or new proposed laws, all of
9	that is tribal election. I know that's confusing, but
10	these elections are federal elections that are only for
11	changing the documents.
12	MS. ELGIN: Amendments to the constitution.
13	MS. DAUGHERTY: Or a corporate charter.
14	MS. ELGIN: That would be a secretarial election
15	process.
16	MS. DAUGHERTY: Yes. Federal election process.
17	And the best place to look always your best place to
18	look is in your organic documents, in your organizational
19	documents. You'll see in there oh, there's an amendments
20	section that talks about secretarial involvement, and
21	that triggers the election requirements versus the other
22	sections where they talk about passing ordinances.
23	Typically it doesn't say anything about secretarial
24	involvement. And even if it does say something about
25	secretarial involvement, it might be just an approval of

Carol Nygard and Associates

2

3

4

5

6

7

the document, but not by an election; that would just be the approval process.

MR. HOLSTEIN: Joseph Holstein. We're in the process of removing the secretarial election from our constitution. I was just wondering if there's any particular document that we need in place that would be approved.

MS. DAUGHERTY: Absolutely not. Other than what 8 9 you said the first time, which is that you have to have a 10 secretarial election to actually remove it. And then 11 after it passes, from that point forward, then you would 12 not need to utilize the federal, the secretarial election 13 process at all. But no, there's nothing special. It's 14 just like any other amendment. It's a very important 15 one, but it has to be conducted by this process, and it would just be put forward as any other request. 16

MR. HOLSTEIN: And once the specific language is sent to you guys, it's 90 days from that, when you guys receive the specific language to the changes?

MS. DAUGHERTY: It will come in, but then there will be the technical comment period where we will send you a technical comment letter back on the document. And here's -- this is the one slight variation on this. Sometimes the language is very clean or it's just a small change and there will be no technical comment letter, and

Carol Nygard and Associates

1	the election will be authorized. Then that's when the
2	clock starts ticking, from the authorization. You have
3	90 days to do it from the date the regional director
4	authorizes the election to go forward.
5	MS. DIEGO: Leora Treppa Diego. Did I hear you
6	say previously that when you're going to do that, to
7	check through your constitution to make sure there isn't
8	anything that will change?
9	MS. DAUGHERTY: Absolutely. That's probably the
10	most common technical comment issue I've seen is changing
11	one small section but forgetting that other portions of
12	the constitution will touch on the language to change
13	too.
14	MS. BROOKS: One thing that's common for our
15	California constitution is something like approval of
16	current contacts, and that's usually under the powers of
17	the governing body. So we'll see that the tribe will
18	remove secretarial approval from their constitution, but
19	then secretarial approval will still remain in the other
20	parts of the constitution. Maybe approving the
21	membership role or something like that. So that would be
22	something that if you're going to remove us from this
23	
	section, you know, consider removing secretarial approval
24	from all those other sections.

Carol Nygard and Associates

1 that's a valid point. And we even do that in our region. 2 If a tribe is going to have a secretarial election and 3 they propose that, as long as you're going through all the effort to do this, you might want to -- now is the 4 5 time to make other changes you may have been considering. 6 So at some point too, there's competing concerns. If you 7 change a lot of things, it makes voters nervous. So it's 8 really just a balancing act. We really want this change, and that's what we're going to focus on or expand it out 9 10 to do other things. It's the power of the nation. They 11 don't have to come to the secretary to approve this 12 ordinance or that attorney contract, as you articulated, 13 to even maintain our governing documents. We can do that 14 ourselves without secretarial involvement. 15 MR. KEEP: I'd like to add onto that. Removing

the secretarial approval of the constitution and future 16 17 amendments does not necessarily do away with the 18 requirement for secretarial approval if it's required by 19 some other provision of law. For example, the attorney 20 contracts. There's other statutes that require 21 secretarial approval for specific actions; attorney 2.2 contracts, certain leases, or all leases. There's a 23 variety of things. So you haven't gotten rid of the 24 secretarial approval requirement for certain other 25 actions where in those other actions secretarial approval

Carol Nygard and Associates

Г

1	is required by other statutes. So just keep that in
2	mind. You can clean it up, as Dani suggested. It really
3	is helpful to make sure that you've gone through.
4	And years ago there used to be requirements for
5	secretarial approval of any ordinance governing conduct
6	of nonmembers on the tribe's land. Well, the department
7	years ago recommended that whenever a constitution came
8	in and that provision was still in there, if it wasn't
9	one of the ones identified by the tribe for removal, we
10	recommended taking that out. So it's that sort of thing
11	that there may be some help that the bureau's technicians
12	and the folks here can give you.
13	MS. DAUGHERTY: And unless congress changes it,
14	you're stuck with us on liquor ordinances, so there's
15	just some things that we will be involved with.
16	MR. LONG: There is instances, and especially
17	nowadays with dis-enrollments and stuff like that.
18	Tribal members still want secretarial approval for like
19	imminent enrollment ordinance, allowed to leave and still
20	not have that requirement in the (inaudible)
21	constitution. So that requirement is still in the
22	constitution and still not have the requirement for
23	secretarial approval in the constitution.
24	MS. BROOKS: I'm a member of the Hoopa Valley
25	tribe, and we run and conduct our own elections to amend

Carol Nygard and Associates

2

3

4

5

6

our constitution but still require secretarial approval. So that's just another option. And for whatever reason, that's just the way our tribe has selected to do it. We don't necessary do the secretarial election process, but we send everything into the bureau, you know, with the request for their approval.

MS. DAUGHERTY: And we do have a tribe in our 7 8 region that's the same. And once again, that points back to the issue, and once again, you can consult one-on-one 9 with your bureau staff on that issue. There's these 10 11 little variations in the amendment language where a tribe 12 has interpreted things differently or the language just 13 expresses itself and says hey, this election is done a 14 little bit differently. So it really is case-by-case 15 nation-by-nation. We have to sit down and look at that 16 amendment section. If you have a question, can we do this or can we do that. Well, you tell us what your 17 18 interpretation of that language is in your amendment 19 section, and let's look at that. They vary, and I can't stand up here and say that they're all the same. They're 20 21 not; they're definitely not.

22 MR. HOLSTEIN: I just want to say thank you for 23 answering that question. That brought up some valid 24 points. For enrollment, I can see that still needs to be 25 part of it.

Carol Nygard and Associates

2

3

MS. DAUGHERTY: Any other questions on anything? MS. IRON CLOUD: Or recommendations on how we can make the proposed regs any better?

MS. DAUGHERTY: And if you can't think of 4 5 anything here, it is not a quiz. We're not going to 6 grade you. If you don't, and we really do -- we had 7 three consultations, one in Atlanta and one earlier this week in Oklahoma. And I'll tell you, I'm very happy that 8 9 this one -- there's definitely been some great points that brought up gaps or clarity issues that we had not 10 11 previously considered. So this is, to me, exactly the 12 kind of things that in a consultation process I love to 13 see and be a part of. So I really thank you for your 14 questions and input today.

And you know how to get a hold of Laurel. And if you have any questions even after we all walk away today, you're welcome to call me anytime. I just thank you for your time here and your attention.

MR. KEEP: Dani, I'd like to just address one more comment about the removal of the secretarial approval. I urge tribes to think very carefully about that. Not because the bureau wants to be involved in those, but when we've had a couple of instances where tribes withdrew or removed the requirement for secretarial approval from their constitutions. That also

Carol Nygard and Associates

2

removed the statutory requirement for minimum voter participation.

3 The IRA statute requires a minimum of 30 percent participation of what we now think of the eligible voters 4 5 which are the registered ones. And when you take the 6 secretarial approval out, those minimum requirements are 7 also gone. And if the tribe isn't very careful, some tribes we've had experience with that without that 8 9 statutory minimum, they have amended their constitutions with very, very small voter participation. And that has 10 11 resulted in really substantial instability in the tribal 12 government. Where you can say well, 30 percent got out 13 of the majority, so that's really 16 percent. That's 14 really not overwhelming participation. When you take 15 that out, we've had some participation levels as low as 16 the single digits.

And that just is -- the tribe, if they do that, they need to make sure they also ramp up their efforts to get voter participation because it's so important to have that participation reflected. So it puts more of a burden on the tribe, and they need to realize that that burden comes with that territory. So think very carefully about it.

24 MS. IRON CLOUD: Viola, you look like you had a 25 final question or a question.

Carol Nygard and Associates

1 MS. BROOKS: Mine is more procedural. So let's 2 say Upper Lake, for example. And say they want to remove 3 secretarial approval from their constitution in three separate instances, whatever the case may be. So then in 4 5 that instance, they would be submitting to the bureau 6 three separate amendments, and each amendment would have 7 to be voted on separately. Do you, you know, want to 8 take this -- remove secretarial approval over this 9 provision Amendment A, this provision Amendment B, and this provision Amendment C, which is actually what we had 10 11 to do with the United Auburn Indian Community. They had a series of amendments, and those amendments are 12 13 incorporated into the back of their constitution versus 14 having one vote where it's like do you accept these 15 changes, yes or no. MS. DAUGHERTY: They would be separate 16 amendments. There are occasion where a tribe will say 17 18 we're amending the entire document at once, and there's a

we're amending the entire document at once, and there's a determination from the nation that they would do it that way or the other way. I think a lot of nations like to break it up into the separate amendments. At some point, you know, when you're making so many amendments, you're really revising the whole document, so there's no distinct line as to what that is. But some nations like to keep those separate just in case two would pass and

Carol Nygard and Associates

Consultation on Secretarial Elections,

1

2

3

4

one wouldn't, but people would vote against all of them because they don't like the one. So nations typically like to keep the amendments separate just to maximize the opportunity that some change will occur versus none.

5 So that's really a tribal decision as the 6 regulations are made. There's no critical cut off of oh, 7 once you get to 18 amendments or 10 amendments that you have to have a vote. That's certainly an area where you 8 comment if you want it to be that strict. But we usually 9 10 leave that up to the nation whether they're going to do 11 that as an entire document saying we're voting to replace 12 the entire document or just this one. Every nation I have worked with -- and Scott has a lot more experience 13 14 than I do -- has done it in separate amendments to 15 maximize the chance that some of the constitution will 16 change.

17 MR. KEEP: Yeah. I just want to add to what Dani 18 said. One of the other things that everybody needs to 19 think about when they want to do multiple amendments, which is good -- you can't say Part 1 we want to have 20 21 either -- you can't give three alternatives. All right? 2.2 Keep in mind that when you break up the amendments, you 23 have to have -- you can only keep the existing document or the new document. You can't say we want either the 24 25 existing document or Alternative A or Alternative B.

Carol Nygard and Associates

2

3

Because that then gives the voters three options. You might end up with no particular amendment getting a majority.

So the amendment or the change has to be by a majority vote. So you can't give the voters an alternative. The tribes have to explore what those alternatives are ahead of time through tribal education meetings or whatever so that what goes on the ballot, there are only two alternatives; keep the existing provision or the amendment.

MS. DAUGHERTY: And that's not unchanged from the prior regulations.

MS. IRON CLOUD: Yeah. If you do two alternatives, it could be argued that they're conflicting, and this does not allow for conflicting amendments. So one amendment has to be voted up or down at a given time, not alternatives or conflicting.

MS. DAUGHERTY: Yeah. Scott called it. It's basically do we want to make the change or do we want the document to stay the same. That's really the choices that are reflected.

22 MR. KEEP: And just one last comment, if you 23 will. One of the things that I think has been difficult 24 for tribes is we've had tribal leaders who have wanted to 25 go with an entirely new document, and the bureau has

Carol Nygard and Associates

authorized those. And then groups within the tribes have disagreed and challenged it because it presented the voters with an all-or-nothing. All right? And that's very difficult. It needs to be -- the bureau needs to work with the tribe to make sure that there's an adequate voter education effort ahead of time.

7 Where we've had trouble and had litigation is 8 where we haven't had that tribal chairman, and his 9 council has come in and said we want X, and it's a total 10 change. And then all of a sudden, there's the 11 traditional faction within the tribe that says no, no, we 12 want to keep the old way because we want X.

13 And if we can't demonstrate that there was an 14 adequate opportunity to educate the voters and the rest 15 of it, we've had to go back and redo the election. And in a couple of cases, we have had to appoint a special 16 commission that spent nearly a year traveling through the 17 18 territory conducting voter education. So the more the 19 tribe can do on that sort of thing ahead of time, the better off. 20

And as Dani said, a lot of tribes like to do it with separate different amendments for that very purpose. It's easier because one particular revision which is controversial, they can exclude that. So long as all the ones that are adopted work together, that's the key.

Carol Nygard and Associates

1 MS. DAUGHERTY: Yeah. Definitely there are 2 some -- you know, this is, I think, just the value of 3 doing the voter education. Because that's -- it increases the likelihood that the amendments will have a 4 5 chance of passing. Because I really do get the 6 impression from talking to people in the community, 7 talking about this process. If people don't understand something, they will just automatically vote no, and then 8 9 all of us have engaged in all this work and effort to bring this forward and it's not going to pass. 10 11 One of the comments in the previous round of 12 consultations was one of the tribes suggested that in the 13 regulations that we required the tribal education. And 14 ultimately we just thought that's just so paternalistic. 15 It's up to the nation to decide how much voter education that they want to do. But I always, just as a matter of 16 17 course, just encourage as much of it as possible. It 18 would be a shame for us to do all this work together and 19 have something not pass just because there was not enough 20 effort for people to understand what they were voting on. 21 MS. DIEGO: Leora Treppa Diego. Say we're 2.2 successful in removing the secretarial election part of 23 the process. Are there any statutes or regulations that 24 require us to report further changes to the constitution? 25 MS. DAUGHERTY: There really aren't. But one of

Carol Nygard and Associates

1 the issues will be, obviously, as we interact going forward, there are times, for example -- let's say a land 2 3 lease or something to that effect, where you're going to want the bureau to take action on something. We are 4 5 going to ask, can we see the most recent copy of the 6 constitution because part of that process is was this 7 request put forth in a valid resolution. Well, we don't 8 know, so we have to see in your documents what is a valid 9 resolution. So even though we're not involved, you have to 10

11 share that document with us. You're going to want to do it as a matter of course. All those other mechanisms 12 13 where tribes pass resolutions to take action, if you want 14 us to take action based on those actions that you have 15 taken -- or another example, sometimes tribes change their plans for the casino distributions. When we submit 16 17 those up to the Office of Indian Gaming, one of the 18 questions asked is was this request passed pursuant to a 19 valid resolution. And we can't answer that question until we see the resolution and the constitution, what 20 21 the requirement is for a valid resolution.

So even though there's no requirement, if you want certain actions and you want us involved in those, then you'll give it to us at that point anyway. There's no requirement. We actually -- we encourage that you

Carol Nygard and Associates

don't have to show us all of your resolutions; you just 1 2 have to show us the ones you want us to take action on. 3 But once you want us to take an action if you're requesting something from us, we have to be assured that 4 5 that's a valid action of the nation to be able to follow 6 our regulations, so you're going to have to provide the 7 constitution to us at some point if you want something 8 form us. But otherwise, no.

9 MS. ELGIN: Lisa Elgin. Just the one part I have a question on is the amendments to the constitution. We 10 11 did have like eight of them brought to us all at once, 12 and that process happened where it was all or none. And 13 the way we presented it to the council was we brought 14 back to us as a voting membership was, you know, one 15 through eight, yes or no. It didn't present itself that 16 way. So I'm wondering how do we now reverse some of those amendments? Do we make an amendment to that 17 18 amendment? Or do we do a new amendment?

And I think the whole part in the process for membership going to vote, there was a big mixup about how voters have to register, and they thought they were already entitled because you're members, why should you have to register to go through the voting process, so a lot of people didn't get that. And I get that now. It's the education part of it. But a lot of members and a lot

Carol Nygard and Associates

1 of elders didn't get that; they said I'm a member, I'm 2 going to vote, I don't need to turn anything in. And 3 that's what happened at the polling that day. And a lot of members were rejected and turned away, and there was a 4 5 whole discrepancy about how the information went out, had 6 wrong address, came back, and they redid them. So that 7 whole process was just a big kind of a sham for all of us because you want some of the amendments to pass, but some 8 9 of them you don't, so all or nothing didn't work for us, and we had a low voter turnout. But it turned out, you 10 11 know, maybe an eighth of our tribe voting on eight amendments that most of us didn't want. 12

MS. DAUGHERTY: Well, as to your first point --I'll tackle both of those questions. The first, you're right. You can immediately turn around and say look, this passed, it's in place, and a lot of people don't agree with it, let's take another look at it, let's do some education. And we actually have seen things turn around pretty quickly.

We had a tribe that lowered its blood quantum requirement down to an eighth from a quarter. And less than a year later, they said we want to have another election to bump it back up to a quarter. And I thought -- we had registered people for a year, and people went in in droves, and I thought there is no way

Carol Nygard and Associates

1	they're going to raise that back again. And they did;
2	they successfully did. I was shocked. So anytime
3	there's amendments in place that it passes and is
4	incorporated into the constitution or the document and
5	there is still some disagreement in the community, let's
6	take another look at that, you're perfectly welcome to
7	propose another process. In your case, was the election
8	conducted pursuant to a petition or to the tribal
9	governing body bringing forth a resolution?
10	MS. ELGIN: Tribal governing body.
11	MS. DAUGHERTY: Okay. So then at the point where
12	they passed that resolution and there already was some
13	confusion about all or nothing, you're right, that's
14	really getting back to the voter education process and
15	definitely making sure your counsel representatives know
16	hey, we want this to be different. That almost is like a
17	situation like we described earlier where if enough
18	people came to the council to express that, they might
19	have withdrawn that request before the process was too
20	far down the road.
21	But at any point, that's the best thing about
22	these processes, is these governing documents are living
23	and breathing documents. So if there's things you
24	disagree with, tackle it again. Yeah, you're right. The
25	more involved that process is, the more community

Carol Nygard and Associates

involvement and the more voter education, the more likely 1 you are to get it done in a way that most people agree 3 with. As far as the registration, that has always been 4

a challenge. But from our perspective as the federal government conducting these elections -- and I can't remember your name, sir. What is your first name?

7 8

5

6

2

MR. HOLSTEIN: Joseph.

9 MS. DAUGHERTY: Okay. I think Joseph hit it when he talked about we want to remove the secretary from this 10 11 process. Because then you can do the election as you 12 seek to do it yourself as a nation. But until that 13 point, if it's still in there and we're conducting it, we 14 have to have a way of measuring that the proper people 15 had a chance to vote. And that's why we do the registration process, because it's a federal election. 16 17 We have to find a way to calculate that 30 percent that 18 were required to calculate as far as okay, have the 19 membership -- and then you have a smaller group that registered, and then 30 percent of those people had to 20 21 participate in the election process, and then whatever 2.2 the majority of them said is whether we decide if a 23 change has occurred or not. We have to have a way to 24 measure that, and as the government, the way to do that 25 is through the registration process. I know it

Carol Nygard and Associates

2

3

4

frustrates a lot of tribal nations. From our perspective, it can't, because we have to have a way to verify to everybody that that election was conducted credibly.

5 We are hoping that that change to allow it to 6 occur all by mail-out instead of by ballot or polling 7 sites where it's not required by the amendments will increase that voter participation so that people don't 8 9 have to worry about showing up. We certainly welcome your comments on that. That just gets you right back to 10 11 the main point, which is you can remove us from this 12 process and we'll help you do it. I personally believe 13 that's a nation acting like a nation, and we would love 14 to help you with that process to remove us so that you 15 can conduct your amendments however you seek to do it.

MS. BROOKS: Also it's important to do a lot of 16 work ahead of time working with the secretarial election 17 18 committee working with, you know, the tribal members. 19 Because you know your members the best. So understanding what's the intent too, because it's usually easier to do 20 21 the all-or-nothing, do you accept this or do you reject 2.2 this, yes or no. You know, so some tribes feel like 23 putting everything together to all eight amendments on 24 for one ballot would be, you know, easier or more likely 25 to have success than voting on each separate amendment.

Carol Nygard and Associates

1 So that's something that, you know, would be a decision that the tribe and the election committee would have to 2 3 decide. You know, and then that's how they format the election and what type of request and stuff like that. 4 5 So that's something that, you know, I would recommend you 6 consult with the agency, you know, to seek technical 7 assistance from them and then, like I said, working with your tribe to decide what you think is the best way to 8 present this to the memberships. 9 MS. DAUGHERTY: And frankly, when we get the 10 11 tribal request, you'll see that right in the request. 12 You get the exact language to be voted on. They can show 13 you here's how the whole document is written, and here's 14 how we want to see the whole document written. That's 15 the all-or-nothing request from the tribe. You would know that immediately once we receive the request. Or 16 are we receiving eight amendments? This is how the 17 18 language reads now in this spot; this is how we want it 19 to read in this spot. You'll know right when you get the 20 tribal request and you see the request that's attached to 21 the resolution whether they're proposing it as an 2.2 all-or-nothing deal and hopefully take action pretty 23 quickly right there to say wait a minute, we didn't think 24 this was all-or-nothing, we need to maybe change that

25 request. If it's in the petition form, you'll know right

Carol Nygard and Associates

Consultation on Secretarial Elections,

1 there when you're signing the petition. Are they circulating a petition with an entire document? Are they 2 3 circulating it in a pile? Then you'll know. MS. IRON CLOUD: Any further questions or 4 5 comments? 6 Harley, do you have anything you'd like to say? 7 Okay. Thank you all for coming. I appreciate your time and your input. Again, you've been inundated 8 9 with our contact information as to how to submit written 10 comments. Just a reminder that the commentary period 11 ends on December 17th. So please, we do welcome that 12 input. 13 Once we receive all the comments and we receive 14 the transcripts back from all of our consultation 15 sessions, the department will undergo a review process of all of that information and make any relevant 16 considerations and have that relevant discussion to those 17 18 issues. Then the document will work its way through 19 departmental approval. So those are the next steps. 20 I can't promise anything time-wise. I know a lot 21 of people ask when will these be finalized. I can't 2.2 promise anything. But our regulatory affairs office said 23 that on average, at least a couple of months for the -after the December 17th commentary cutoff, then a couple 24 25 months for consideration of any other changes and

Carol Nygard and Associates

Г

1	clarification.
2	MS. BROOKS: If anyone wants to take copies of
3	this material back to their tribe, there are plenty of
4	copies out front, so feel free to grab them on your way
5	out.
6	MS. IRON CLOUD: Again, thank you. And this
7	concludes this consultation session.
8	(The hearing concluded at 10:20 a.m.)
9	000
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

Carol Nygard and Associates

1	I, PATRICIA R. CHAPIN, a Certified Shorthand
2	Reporter of the State of California, do hereby certify:
3	That the foregoing proceedings were taken before
4	me at the time and place herein set forth; that a record
5	of the proceedings was made by me using machine shorthand
6	which was thereafter transcribed under my direction; that
7	the foregoing transcript is a true record of the
8	proceedings.
9	I further certify I am neither financially
10	interested in the action nor a relative or employee of
11	any attorney or party to this action.
12	IN WITNESS WHEREOF, I have this date subscribed my
13	name.
14	Dated: November 30, 2014
15	ADICA SE
16	
17	Patricia R. Chapin -
18	PATRICIA R. CHAPIN, CSR No. 13493
19	000
20	
21	
22	
23	
24	
25	
	Carol Nygard and Associates (916) 928-8999