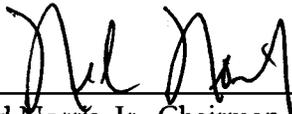


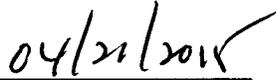
**P. L. 102-477 DEMONSTRATION PROJECT  
FINAL FINANCIAL STATUS REPORT  
(Follow instructions provided)**

|   |  |   |                          |  |  |   |
|---|--|---|--------------------------|--|--|---|
| 1. Federal Agency and Organizational Element to which Report is Submitted<br><br><b>Department of the Interior Office of Indian Energy &amp; Economic Development</b>   |  | 2. Federal Contract or Other Identifying Number Assigned By Federal Agency<br><br><b>A13AV00463</b> |                          | OMB Approval No.<br><br><b>1076-0135</b>                                       | Page<br><br><b>1</b>   | Of<br><br><b>1 Pages</b>                    |
| 3. Recipient Organization (Name and complete address, including ZIP code)<br><br><b>Tohono O'odham Nation<br/>PO Box 837<br/>Sells, AZ 85634</b>  |  |   |                          |  |  |   |
| 4. Employer Identification Number<br><br><b>86-0044545</b>  |  | 5. Recipient Account Number or Identifying Number<br><br><b>100.14.2010</b>                         |                          | 6. Final Report<br><i>O</i> YES <i>X</i> NO                                    |  | 7. Basis<br><i>O</i> CASH <i>X</i> ACCRUAL  |
| 8. Funding Contract Period (See Instructions) From: (Month/Day/Yr)<br><br><b>10/01/2012</b>   |  | To: (Month/Day/Yr)<br><br><b>09/30/2015</b>   |                          | 9. Period Covered by this Report From: (Month/Day/Yr)<br><br><b>10/01/2013</b> |  | To: (Month/Day/Yr)<br><br><b>09/30/2014</b> |
| 10. Transactions:   |  |   | I<br>Previously Reported | II<br>This Period  | III<br>Cumulative  |   |
| a. Total outlays  |  |   | <b>\$402,399.93</b>      | <b>\$1,041,505.66</b>  | <b>\$1,443,905.59</b>  |   |
| b. Recipient share of outlays   |  |   |                          |  |  |   |
| c. Federal share of outlays   |  |   | <b>\$402,399.93</b>      | <b>\$1,041,505.66</b>  | <b>\$1,443,905.59</b>  |   |
| d. Total unliquidated obligations   |  |   |                          |  |  |   |
| e. Recipient share of unliquidated obligations  |  |   |                          |  |  |   |
| f. Federal share of unliquidated obligations  |  |   |                          |  |  |   |
| g. Total Federal share (Sum of lines c and f)   |  |   |                          |  | <b>\$1,443,905.59</b>  |   |
| h. Total Federal funds authorized for this funding period   |  |   |                          |  | <b>\$1,876,502.30</b>  |   |
| i. Unobligated balance of Federal funds (Line h minus line g)   |  |   |                          |  | <b>\$432,596.71</b>  |   |
| 11. Indirect  |  |   |                          |  |  |   |
| a. Type of Rate (Place an X in appropriate box)   |  |   |                          |  |  |   |
| <i>O</i> Provisional  |  | <i>O</i> Predetermined  |                          | <i>O</i> Final   |  | <i>X</i> Fixed                              |
| Expense   |  | b. Rate   |                          | c. Base  |  | d. Total Amount                             |
|   |  | <b>20.41%</b>   |                          | <b>\$819,478.59</b>  |  | <b>\$167,255.58</b>                         |
|   |  |   |                          |  |  | <b>\$167,255.58</b>                         |
| 12. Remarks: See instructions, Section 12 a. -g. for required and optional attachments. Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation. |  |   |                          |  |  |   |
| 13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.           |  |   |                          |  |  |   |
| Typed or Printed Name and Title<br><br><b>Ned Norris, Jr. Chairman / Wavalene M. Romero, Vice Chairwoman</b>  |  |   |                          |  | Telephone (Area code, number and extension)<br><br><b>(520) 383-2028</b> |   |
| Signature of Authorized Certifying Official<br><br>  |  |   |                          |  | Date Report Submitted<br><br><b>04/21/15</b>                             |   |

Tohono O'odham Nation  
Public Law 102-477  
Financial Status Report  
Section 12 Certifications  
Reporting Period: 10/01/2013 – 09/30/2014

- 12a. None of the funds in the approved budget which originated in the Workforce Investment Act (WIA) were used in violation of the Acts prohibition on the use of funds for economic development activities unless the tribe has approved economic development activities pursuant to Pub. L. 106-568 Section 1103.
- 12b. None of the funds in the approved budget which originated in the Workforce Investment Act (WIA) were used in violation of the Acts restrictions on assisting employer relocations.
- 12c. No Program income was earned
- 12d. No refunds or rebates were received.
- 12e. Amount of funds expended for the category of the approved budget which includes administrative costs is \$1,041,505.66
- 12f. There were no child care development funds used.
- 12g. DHHS TANF Report is not applicable.

  
\_\_\_\_\_  
Ned Norris, Jr., Chairman  
Wavalene M. Romero, Vice-Chairwoman  
Tohono O'odham Nation

  
\_\_\_\_\_  
Date

**Tohono Oodham Nation**  
**Statement of Revenues and Expenditures**  
**2010 - ETP - ONE STOP DIVISION**  
**From 10/1/2013 Through 9/30/2014**

|                |                                 | Month To Date       | Year To Date        | Total Budget        | Budget<br>Variance YTD | Budget<br>Remaining % |
|----------------|---------------------------------|---------------------|---------------------|---------------------|------------------------|-----------------------|
| <b>REVENUE</b> |                                 |                     |                     |                     |                        |                       |
| 4005           | REVENUE INDIRECT COST REIMBURSE | 167,255.58          | 167,255.58          | 205,969.73          | (38,714.15)            | (18.80)%              |
| 4009           | DIRECT COST REIMBURSEMENT       | 874,250.08          | 874,250.08          | 1,603,237.43        | (728,987.35)           | (45.47)%              |
|                | <b>Total REVENUE</b>            | <u>1,041,505.66</u> | <u>1,041,505.66</u> | <u>1,809,207.16</u> | <u>(767,701.50)</u>    | <u>(42.43)%</u>       |
| <b>EXPENSE</b> |                                 |                     |                     |                     |                        |                       |
| 6000           | SALARIES AND WAGES              | 545,094.63          | 545,094.63          | 654,487.20          | 109,392.57             | 16.71%                |
| 6010           | LEAVE                           | 63,348.43           | 63,348.43           | 72,720.80           | 9,372.37               | 12.89%                |
| 6030           | WORK EXPERIENCE                 | 29,143.97           | 29,143.97           | 61,500.00           | 32,356.03              | 52.61%                |
| 6110           | FICA                            | 47,493.87           | 47,493.87           | 60,336.16           | 12,842.29              | 21.28%                |
| 6120           | WORKERS COMP                    | 1,287.09            | 1,287.09            | 1,735.16            | 448.07                 | 25.82%                |
| 6130           | UNEMPLOYMENT EXPENSE            | 2,880.00            | 2,880.00            | 3,060.00            | 180.00                 | 5.88%                 |
| 6205           | EMPLOYER HEALTH                 | 90,670.44           | 90,670.44           | 153,000.00          | 62,329.56              | 40.74%                |
| 6215           | EMPLOYER DENTAL                 | 2,011.88            | 2,011.88            | 3,240.00            | 1,228.12               | 37.90%                |
| 6220           | EMPLOYER LIFE INSURANCE         | 5,139.30            | 5,139.30            | 7,560.00            | 2,420.70               | 32.02%                |
| 6230           | EMPLOYER LONG TERM DISABILITY   | 1,420.30            | 1,420.30            | 2,430.00            | 1,009.70               | 41.55%                |
| 6235           | EMPLOYER SHORT TERM DISABILITY  | 2,571.58            | 2,571.58            | 4,050.00            | 1,478.42               | 36.50%                |
| 6240           | EMPLOYERS MATCHING 401K         | 34,141.28           | 34,141.28           | 43,632.48           | 9,491.20               | 21.75%                |
| 6500           | TRAINING FEES AND REGISTRATION  | 0.00                | 0.00                | 2,000.00            | 2,000.00               | 100.00%               |
| 6510           | LODGING                         | 0.00                | 0.00                | 2,000.00            | 2,000.00               | 100.00%               |
| 6520           | PER DIEM                        | (106.50)            | (106.50)            | 2,000.00            | 2,106.50               | 105.33%               |
| 6530           | TRANSPORTATION                  | 0.00                | 0.00                | 2,000.00            | 2,000.00               | 100.00%               |
| 7000           | SUPPLIES - OFFICE               | 968.83              | 968.83              | 1,100.00            | 131.17                 | 11.92%                |
| 7005           | SUPPLIES - OFFICE EQUIPMENT     | 15,481.79           | 15,481.79           | 50,100.00           | 34,618.21              | 69.10%                |
| 7010           | PROGRAM SUPPLIES                | 514.50              | 514.50              | 5,100.00            | 4,585.50               | 89.91%                |
| 7060           | FOOD                            | 373.80              | 373.80              | 2,250.00            | 1,876.20               | 83.39%                |
| 7065           | CONSUMABLES                     | 0.00                | 0.00                | 400.00              | 400.00                 | 100.00%               |
| 7075           | FUEL                            | 1,199.02            | 1,199.02            | 10,000.00           | 8,800.98               | 88.01%                |
| 7100           | UTILITIES                       | 346.88              | 346.88              | 6,000.00            | 5,653.12               | 94.22%                |
| 7110           | COMMUNICATION EXPENSE           | 5,110.35            | 5,110.35            | 2,000.00            | (3,110.35)             | (155.52)%             |
| 7305           | GENERAL LIABILITY INSURANCE     | 1,757.12            | 1,757.12            | 4,776.76            | 3,019.64               | 63.22%                |
| 7310           | EMPLOYEE SECURITY CLEARANCES    | 0.00                | 0.00                | 100.00              | 100.00                 | 100.00%               |
| 7340           | PUBLIC RELATIONS                | 741.77              | 741.77              | 750.00              | 8.23                   | 1.10%                 |
| 7355           | PROFESSIONAL SERVICES           | 6,346.00            | 6,346.00            | 50,100.00           | 43,754.00              | 87.33%                |
| 7400           | RM EQUIPMENT                    | 256.00              | 256.00              | 1,200.00            | 944.00                 | 78.67%                |
| 7410           | RM VEHICLES                     | 0.00                | 0.00                | 500.00              | 500.00                 | 100.00%               |
| 7420           | RM BUILDINGS                    | 0.00                | 0.00                | 20,100.00           | 20,100.00              | 100.00%               |
| 7440           | RM COMPUTERS                    | 0.00                | 0.00                | 100.00              | 100.00                 | 100.00%               |
| 7665           | CLIENT SUPPORT                  | 16,057.75           | 16,057.75           | 372,908.87          | 356,851.12             | 95.69%                |
| 9000           | INDIRECT COST CHARGES           | 167,255.58          | 167,255.58          | 205,969.73          | 38,714.15              | 18.80%                |
|                | <b>Total EXPENSE</b>            | <u>1,041,505.66</u> | <u>1,041,505.66</u> | <u>1,809,207.16</u> | <u>767,701.50</u>      | <u>42.43%</u>         |
|                | <b>NET DIFFERENCE</b>           | <u>0.00</u>         | <u>0.00</u>         | <u>0.00</u>         | <u>0.00</u>            | <u>0.00%</u>          |

**100-14-2010**  
**A13AV00463**  
**One Stop P.L. 102-477 Program**  
**10/01/12 - 09/30/15**  
**Award Amount: \$1,876,502.30**

|           |                                | 10/01/12 - 09/30/13 | 10/01/13 - 09/30/14 | 10/01/14 - 09/30/15 | Total Expenditures |
|-----------|--------------------------------|---------------------|---------------------|---------------------|--------------------|
| Line Item | Description                    |                     |                     |                     |                    |
| 6000      | Salaries and wages             | \$ 170,941 19       | \$ 545,094 63       | \$ -                | \$ 716,035 82      |
| 6010      | Leave                          | 22,047 04           | 63,348 43           | -                   | \$ 85,395 47       |
| 6030      | Work Experience                | 6,427 20            | 29,143 97           | -                   | \$ 35,571 17       |
| 6110      | FICA                           | 14,933 11           | 47,493 87           | -                   | \$ 62,426 98       |
| 6120      | Workers comp                   | 564 22              | 1,287 09            | -                   | \$ 1,851 31        |
| 6130      | Unemployment expense           | -                   | 2,880 00            | -                   | \$ 2,880 00        |
| 6205      | Employer health                | 29,368 67           | 90,670 44           | -                   | \$ 120,039 11      |
| 6215      | Employer dental                | 678 31              | 2,011 88            | -                   | \$ 2,690 19        |
| 6220      | Employer life insurance        | 1,616 56            | 5,139 30            | -                   | \$ 6,755 86        |
| 6230      | Employer long term disability  | 449 13              | 1,420 30            | -                   | \$ 1,869 43        |
| 6235      | Employer short term disability | 811 21              | 2,571 58            | -                   | \$ 3,382 79        |
| 6240      | Employers matching 401K        | 10,406 09           | 34,141 28           | -                   | \$ 44,547 37       |
| 6500      | Training fees and registration | 1,200 00            | -                   | -                   | \$ 1,200 00        |
| 6510      | Lodging                        | -                   | -                   | -                   | \$ -               |
| 6520      | Per diem                       | -                   | (106 50)            | -                   | \$ (106 50)        |
| 6530      | Transportation                 | -                   | -                   | -                   | \$ -               |
| 6540      | Mileage pov                    | -                   | -                   | -                   | \$ -               |
| 6600      | Employee tuition aid           | -                   | -                   | -                   | \$ -               |
| 7000      | Supplies - office              | 2,942 27            | 968 83              | -                   | \$ 3,911 10        |
| 7005      | Supplies - office equipment    | 11 50               | 15,481 79           | -                   | \$ 15,493 29       |
| 7010      | Program supplies               | -                   | 514 50              | -                   | \$ 514 50          |
| 7060      | Food                           | 766 08              | 373 80              | -                   | \$ 1,139 88        |
| 7065      | Consumables                    | -                   | -                   | -                   | \$ -               |
| 7075      | Fuel                           | 4,240 46            | 1,199 02            | -                   | \$ 5,439 48        |
| 7100      | Utilities                      | 1,181 22            | 346 88              | -                   | \$ 1,528 10        |
| 7110      | Communication expense          | 5,122 48            | 5,110 35            | -                   | \$ 10,232 83       |
| 7305      | General liability insurance    | 3,454 50            | 1,757 12            | -                   | \$ 5,211 62        |
| 7310      | Employee security clearances   | -                   | -                   | -                   | \$ -               |
| 7340      | Public relations               | 1,253 96            | 741 77              | -                   | \$ 1,995 73        |
| 7355      | Professional services          | 4,262 01            | 6,346 00            | -                   | \$ 10,608 01       |
| 7400      | RM equipment                   | 256 00              | 256 00              | -                   | \$ 512 00          |
| 7410      | RM vehicles                    | 1,003 99            | -                   | -                   | \$ 1,003 99        |
| 7420      | RM buildings                   | -                   | -                   | -                   | \$ -               |
| 7440      | RM computers                   | -                   | -                   | -                   | \$ -               |
| 7665      | Client Support                 | 60,686 76           | 16,057 75           | -                   | \$ 76,744 51       |
|           | Subtotal                       | \$ 344,623 96       | \$ 874,250 08       | \$ -                | \$ 1,218,874 04    |
| 9000      | Indirect cost                  | 57,775 97           | 167,255 58          | -                   | \$ 225,031 55      |
|           | Total                          | \$ 402,399.93       | \$ 1,041,505.66     | \$ -                | \$ 1,443,905.59    |

INDIRECT COST CALCULATION  
 FY 2014  
 September 2014

| Acct Number  | Description               | Total Costs         | Less IDC G/L Post | Total Direct Costs | Pass through funds | IDC Base Amount   | Indirect Cost Rate | Indirect Cost Amount | G/L Post          | Total IDC   |
|--------------|---------------------------|---------------------|-------------------|--------------------|--------------------|-------------------|--------------------|----------------------|-------------------|-------------|
| 100 14 2010  | One Stop 477              | 1,041,505.66        | 167,255.58        | 874,250.08         | 54,771.49          | 819,478.59        | 20.41%             | 167,255.58           | 167,255.58        | 0.00        |
| Pass Through |                           |                     |                   |                    |                    |                   |                    |                      |                   |             |
|              | Work Experience           | 29,143.97           |                   |                    |                    |                   |                    |                      |                   |             |
|              | FICA                      | 2,247.72            |                   |                    |                    |                   |                    |                      |                   |             |
|              | Workers Comp              | 234.28              |                   |                    |                    |                   |                    |                      |                   |             |
|              | Public Relations          | 741.77              |                   |                    |                    |                   |                    |                      |                   |             |
|              | Prof Services             | 6,346.00            |                   |                    |                    |                   |                    |                      |                   |             |
|              | Client Support            | 16,057.75           |                   |                    |                    |                   |                    |                      |                   |             |
|              | <b>Total Pass Through</b> | <b>54,771.49</b>    |                   |                    |                    |                   |                    |                      |                   |             |
| <b>Total</b> |                           | <b>1,041,505.66</b> | <b>167,255.58</b> | <b>874,250.08</b>  | <b>54,771.49</b>   | <b>819,478.59</b> |                    | <b>167,255.58</b>    | <b>167,255.58</b> | <b>0.00</b> |