



Val R. Panteah Sr.
Governor

Birdena Sanchez
Lt. Governor

Phillip Vicenti
Head Councilman

Virginia R. Chavez
Councilwoman

PUEBLO OF ZUNI

P. O. Box 339
Zuni, New Mexico 87327
1203-B NM State Hwy 53
Phone: (505) 782-7022
Fax: (505) 782-7202
www.ashiwi.org

505-782-7000 MAIN

Carlton R. Bowekaty
Councilman

Audrey A. Simplicio
Councilwoman

Margaret M. Eriacho
Councilwoman

Eric Bobelu
Councilman

Officially known as the Zuni Tribe of the Zuni Indian Reservation

January 2, 2015

Jack Stevens, Acting Division Chief
U.S. Department of the Interior
Division of Workforce Development
1951 Constitution Avenue, NW MS-20-SIB
Washington, DC 20245

Dear Mr. Stevens:

The Pueblo of Zuni Education and Career Development Center (ZECDC), P.L 102-477 Program is submitting the following reports as required for the period October 1, 2013 – September 30, 2014:

- SF 269A
- Financial Certifications
- Tribal TANF 102-477 Financial Report 12G
- Tribal TANF Annual Report
- BIA FASSR – General Assistance
- 477 Program Statistical Report

If you should have any questions regarding these reports, please feel free to contact Ms. Bernadette Panteah, ZECDC Director at (505) 782-5998 or via email at bpante@ashiwi.org or Mrs. Annette Leekya, Finance Department at (505) 782-7150. Thank you for your continued support.

Sincerely,

Val R. Panteah Sr.
Governor

Enclosures:

Cc: ZECDC Files FY 13-14
POZ Finance Dept.
K. Lemieux – DOI/OIEED
J. Barrick- AOTR, DOI/OIEED

**PUBLIC LAW 102-477
FINANCIAL STATUS REPORT**

(Follow instructions provided)

1. Federal Agency and Organizational Element to Which Report is Submitted DOI/AS-IA/OIEED/DWD	2. Federal Grant or Other Identifying Number Assigned By Federal Agency A13AV00176	OMB Approval No. 1076-0135	Page	of Pages
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3. Recipient Organization (Name and complete address, including ZIP code)
**Pueblo of Zuni
P.O. Box 339
Zuni, New Mexico 87327**

4. Employer Identification Number 85-0156092	5. Recipient Account Number or Identifying Number 22.231.43.442.xx.3.xxx.xx	6. Final Report <input type="radio"/> Yes <input checked="" type="radio"/> No	7. Basis <input checked="" type="radio"/> Cash <input type="radio"/> Accrual
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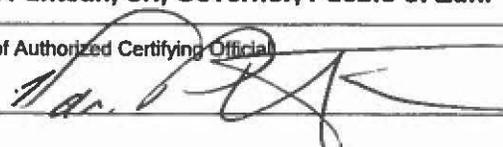
8. Funding/Grant Period (See Instructions) From: (Month, Day, Year) 10/01/12	To: (Month, Day, Year) 09/30/15	9. Period Covered by this Report From: (Month, Day, Year) 10/01/13	To: (Month, Day, Year) 09/30/14
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10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	-	633,525.36	633,525.36
b. Recipient share of outlays	- 0 -	- 0 -	- 0 -
c. Federal share of outlays	-	633,525.36	633,525.36
d. Total unliquidated obligations			- 0 -
e. Recipient share of unliquidated obligations			- 0 -
f. Federal share of unliquidated obligations			- 0 -
g. Total Federal share (Sum of lines c and f)			633,525.36
h. Total Federal funds authorized for this funding period			1,680,920.37
i. Unobligated balance of Federal funds (Line h minus line g)			1,047,395.01

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input type="radio"/> Provisional <input type="radio"/> Predetermined <input type="radio"/> Final <input checked="" type="radio"/> Fixed			
	b. Rate 17.41%	c. Base 340,949.18	d. Total Amount 59,359.25	e. Federal Share 59,359.25

12. Remarks: See instructions, Section 12 a-f. for required attachments. Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.
Excludes TANF: Unobligated balance reflects: FY13 carryover balances of \$1,047,395.01

13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

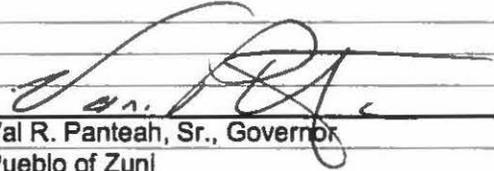
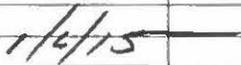
Typed or Printed Name and Title Val R Panteah, Sr., Governor, Pueblo of Zuni	Telephone (Area code, number and extension) (505) 782-7022
Signature of Authorized Certifying Official 	Date Report Submitted January 2, 2015

PUBLIC LAW 102-477 FINANCIAL STATUS REPORT

PUEBLO OF ZUNI

GRANT NO. A13AV00176

FOR THE REPORT PERIOD : 10/01/13 to 09/30/14

Item	Explanation
12a.	I certify that none of the funds in the approved budget which originated in Workforce Investment Act (WIA) were used in violation of the Act's prohibition on the use of funds for economic development activities, unless the tribe has approved economic development activities pursuant to Pub.L. 106-568, Section 1103.
12b.	I certify that none of the funds in the approved budget which originated in Workforce Investment Act (WIA) were used in violation of the Act's restrictions on assisting employer relocations
12c.	I certify that no program income was earned and expended
12d.	I certify that no refunds or rebates were received;
12e.	I certify that amount of funds expended were in the approved budgets, which includes administrative costs
12f.	I certify that Child Care Development funds were used for the appropriate Child Care Development funds related activities
12g.	See attachment
	
Val R. Panteah, Sr., Governor Pueblo of Zuni	Date

PUBLIC LAW 102-477 FINANCIAL STATUS REPORT

PUEBLO OF ZUNI

GRANT NO. A13AV00176

FOR THE REPORT PERIOD : 10/01/13 to 09/30/14

Item 11						
Report Period	Component	Total Expenditures	Pass-Through includes IDC collected	Total Base	Approved IDC Rate	IDC Amount
10/01/13 - 09/30/14						
00	WIAa	170,437.50	55,108.74	115,328.76	17.41%	20,078.74
01	WIAy	56,555.54	8,386.27	48,169.27	17.41%	8,386.27
02	Contract Support	76,330.00	76,330.00			
03	Higher Ed	151,186.87	134,478.86	16,708.01	17.41%	2,908.86
04	JPT	-	-	-	17.41%	-
05	General Assistance	-	-	-		-
06	NEW	-	-	-		-
07	TANF	594,094.17	501,030.63	93,063.54	17.41%	16,202.36
08	Child Care	179,015.45	111,335.85	67,679.60	17.41%	11,783.02
Totals 09/30/14		1,227,619.53	886,670.35	340,949.18		59,359.25

PUBLIC LAW 102-477
FINANCIAL STATUS REPORT
(Follow instructions provided)

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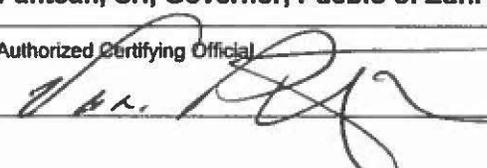
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10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	680,435.97	864,316.34	1,544,752.31
b. Recipient share of outlays	- 0 -	- 0 -	- 0 -
c. Federal share of outlays	680,435.97	864,316.34	1,544,752.31
d. Total unliquidated obligations			- 0 -
e. Recipient share of unliquidated obligations			- 0 -
f. Federal share of unliquidated obligations			- 0 -
g. Total Federal share (Sum of lines c and f)			1,544,752.31
h. Total Federal funds authorized for this funding period			1,670,376.30
i. Unobligated balance of Federal funds (Line h minus line g)			125,623.99

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input type="radio"/> Provisional <input type="radio"/> Predetermined <input type="radio"/> Final <input checked="" type="radio"/> Fixed			
	b. Rate 17.41% 20.35%	c. Base 124,588.17 235,195.41	d. Total Amount 21,690.80 47,862.27	e. Federal Share 21,690.80 47,862.27

12. Remarks: See Instructions, Section 12 a-f, for required attachments. Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title Val R. Panteah, Sr., Governor, Pueblo of Zuni	Telephone (Area code, number and extension) (505) 782-7022
Signature of Authorized Certifying Official 	Date Report Submitted January 2, 2015

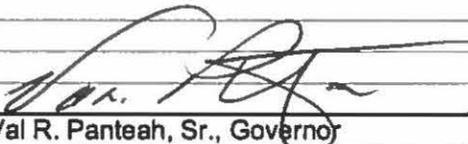
PUBLIC LAW 102-477 FINANCIAL STATUS REPORT

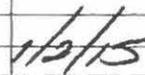
PUEBLO OF ZUNI

GRANT NO. A13AV00176

FOR THE REPORT PERIOD : 10/01/12 to 09/30/13

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12e.	I certify that amount of funds expended were in the approved budgets, which includes administrative costs
12f.	I certify that Child Care Development funds were used for the appropriate Child Care Development funds related activities
12g.	See attachment


Val R. Panteah, Sr., Governor
Pueblo of Zuni


Date

PUBLIC LAW 102-477 FINANCIAL STATUS REPORT

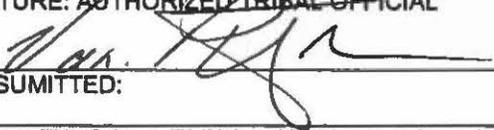
PUEBLO OF ZUNI

GRANT NO. A13AV00176

FOR THE REPORT PERIOD : 10/01/13 to 09/30/14

Item 11	Report Period	Component	Total Expenditures	Pass-Through includes IDC collected	Prior reported	Base	adjusted IDC Base	Total Base	Approved IDC Rate	IDC Amount
	10/01/13 - 09/30/14									
00		WIAa	225,862.00	71,045.29	118,887.29	35,929.42	(35,929.42)	-	17.41%	-
01		WIAy	109,414.34	4,185.21	3,720.45	101,508.68	(92,403.51)	9,105.17	17.41%	1,585.21
2			67,238.00	67,238.00		-		-	0.00%	-
03		Higher Ed	447,584.00	253,269.10	111,637.12	82,677.78	(20,402.65)	62,275.13	17.41%	10,842.10
04		JPT	94,833.74	82,207.61	-	12,626.13	(9,133.25)	3,492.88	17.41%	608.11
05		General Assistance	153,098.25	110,276.00	42,822.25	-		-	0.00%	-
06		NEW	53,158.98	19,514.44	22,815.00	10,829.54	(10,829.54)	-	0.00%	-
07		TANF	801,344.28	454,782.81	801,344.28	(454,782.81)	526,378.50	71,595.69	17.41%	12,464.81
08		Child Care	393,563.00	122,449.12	380,553.86	(109,439.98)	159,154.97	49,714.99	17.41%	8,655.38
		Totals 09/30/13	2,346,096.59	1,184,967.58	1,481,780.25	(320,651.24)	516,835.10	196,183.86		34,155.61

Tribal Temporary Assistance for Needy Families (TANF) ACF - 102-477 Financial Report 12g

TRIBE NAME <i>Pueblo of Zuni</i>	FISCAL YEAR	FISCAL YEAR ENDING DATE	Employer ID Number (EIN)
GRANT DOCUMENT NUMBER (BIA) <i>A13AV00176</i>	<i>10/01/13</i>	<i>09/30/14</i>	<i>85-0156092</i>
REPORTING ITEMS	(A) FEDERAL TFAG FUNDS	(B) TRIBAL FUNDS [OPTIONAL]*	(C) STATE CONTRIBUTED MOE FUNDS [OPTIONAL]
1. TOTAL AWARDED	\$801,389.00	\$	\$
2. CASH ASSISTANCE	\$565,708.00	\$	\$
3. OTHER ASSISTANCE EXPENDITURES	\$	\$	\$
4. TOTAL NON-ASSISTANCE EXPENDITURES	\$	\$	\$
5. ADMINISTRATION	\$112,877.79	\$	\$
6. SYSTEMS	\$	\$	\$
7. OTHER EXPENDITURES	\$81,791.98	\$	\$
8. TRIBAL REPLACEMENT FUNDS		\$	
9. FEDERAL UNLIQUIDATED OBLIGATIONS	\$-0-		
THIS IS TO CERTIFY THAT THE INFORMATION REPORTED ON ALL PARTS OF THIS FORM IS ACCURATE AND TRUE TO THE BEST OF MY KNOWLEDGE			
SIGNATURE: AUTHORIZED TRIBAL OFFICIAL 	SUBMITTAL: [X] NEW [] REVISED	TYPED NAME, TITLE, AGENCY NAME Val R. Panteah, Sr. Governor Pueblo of Zuni	
DATE SUBMITTED:			
*Tribe must fill in Column (B) if it is subject to a penalty and corresponding reduction in its Tribal Family Assistance Grant (TFAG).			
Data for lines 10 to 12 will be completed by the Federal Awarding Agency			
REPORTING ITEMS	(A) FEDERAL TFAG FUNDS	(B) TRIBAL FUNDS [OPTIONAL]*	(C) STATE CONTRIBUTED MOE FUNDS [OPTIONAL]
10. TOTAL EXPENDITURES ON ASSISTANCE	\$	\$	\$
11. TOTAL EXPENDITURES	\$	\$	\$
12. FEDERAL UNOBLIGATED BALANCES	\$		



Optional



No Response Necessary



PUEBLO OF ZUNI *Education & Career Development Center*

ZECDC
Zuni Tribal TANF
Annual Program Report
October 1, 2013 – September 30, 2014

The Pueblo of Zuni of New Mexico was authorized to operate a Tribal TANF program effective April 1, 2001 and is part of the Zuni Education & Career Development Center (ZECDC) Public Law 102-477 approved 3-year plan. Since the Tribe's inception of the Family Assistance Program, many families have accessed majority of services under our "one-stop shop" which have provided the needed resources to assist families' in transitioning off cash assistance and becoming economically self-sufficient.

The Pueblo of Zuni does not receive Maintenance of Effort (MOE) funds from the state of NM Human Services Department (NM HSD). However, we receive a minimal amount from NM HSD fund appropriation for enhancement of Tribal TANF services since the year 2006 and are required by NM HSD to enter into a Governmental Service Agreement. We are hopeful that we will continue to have these funds appropriated on an annual basis however; it is contingent upon approval and authorization of bills introduced to the New Mexico State Legislatures.

Definition of Work Activities:

The Zuni tribe counts reasonable transportation time toward a participant's work activity. Reasonable is defined as the realistic length of time it would take an individual to travel from their home to their work activity site.

The following qualify as work activities:

1. Volunteer for Adult and Youth Work Experience, including but not limited to work associated with the refurbishing of publicly assisted housing if sufficient private sector employment is not available;
2. On-the-job training;
3. Job search and job readiness as provided at 45 CFR 286.105 (b) and (c)
4. Community service programs (e.g. unpaid child/elderly care; agriculture; livestock management, beautification projects etc.)
5. Vocational educational training;
6. Job skills training activities directly related to employment;
7. Education directly related to employment for a participant who has not received a high school diploma or a certificate of high school equivalency;

8. Satisfactory attendance at a secondary school or course study leading to a certificate of general equivalency in the case of a participant who has not completed secondary school or received such a certificate;
9. The provision of childcare services to a TANF participant who is participating in authorized work activities;
10. Substance and or drug abuse counseling and intervention, behavioral and mental health services; domestic violence intervention and counseling
11. Unsubsidized employment, including self-employment;
12. Subsidized private sector employment, including self-employment;
13. Subsidized public sector employment;
14. Family strengthening activities to ensure the health and safety of the children, including but not limited to: attending medical and dental appointments, family or marriage counseling, participating in home visits and parent/teacher conferences with other agencies or schools, volunteering in children's classroom and with coaching of children's sport activities.
15. Zuni tribal culturally relevant activities that lead to becoming self sufficient, productive, and contributing members of society including but not limited to:
 - a. Participation in Zuni Medicine society duties and responsibilities during winter/summer solstice;
 - b. Participation in preparation (i.e. prayer sticks, prayers, cooking, baking bread, fasting, cleansing/purification etc) of Zuni religious dances/ceremonies;
 - c. Learning diverse functions of seasonal cultural religious ceremonies;
 - d. Learning or teaching others to speak Zuni fluently.

The following qualify as temporary alternative work activities that Zuni may establish for no longer than sixty weeks except as otherwise provided:

1. Participating in parenting classes, money management classes or life skills training;
2. Participating in certified alcohol or drug addiction program;
3. In the case of a homeless benefit group, finding a home;
4. In the case of a participant who is a victim of domestic violence residing in a domestic violence shelter or receiving counseling or treatment or participating in criminal justice activities directed at prosecuting the domestic violence perpetrator and
5. In the case of a participant who does not speak English, participates in a course in English as a second language.

Description of transitional services provided to families no longer receiving assistance due to employment

Transitional services are made available to former TANF recipient's that left in good standing due to successful employment reasons. The Case Manager may authorize transitional services which include extended case

management and supportive services.

Finding a job is a crucial step toward self-sufficiency, but retaining employment is just as important. Job retention services have proven an effective means of supporting former recipients retain employment and remain off cash assistance. By providing post Temporary Assistance employment services, former participants can receive continued/extended case management and/or support services such as vehicle repair, work attire/uniforms, eye ware assistance, and childcare while learning to take on self-responsibility to be successful in the world of work. Many families have succeeded in juggling family and work responsibilities.

One-time Job Retention incentive bonuses are also provided to former TANF recipients that have retained their employment for one year. Case Managers are responsible for follow up and upon receipt of employment verification completed by their employer, the bonus is issued.

Description of how monthly assistance is reduced when participant refuses to participate in work without good cause-

Zuni Tribal TANF penalty process:

The Zuni Tribal TANF program's intent is to provide financial assistance to meet the family's basic needs and requires all adults to develop goals and objectives leading toward self-sufficiency. If a Tribal TANF recipient is in non-compliance with the TANF work requirements or child support requirements without good cause, the Zuni Tribal TANF program will reduce monthly benefit in levels. The levels lead to termination of cash assistance payable to the family if family does not get back in compliance.

Prior to imposing the first sanction (reduction of benefits), if it is determined that a participant is not complying with the work participation requirement or child support requirements, the participant shall be required to enter into a conciliation process to address the non-compliance and to identify good cause for non-compliance. The participant is given 10 working days to participate in conciliation process by contacting the Case Manager to discuss and resolve the non-compliance. By doing so, the Case Manager will provide the following month to help participant to become compliant with work activities or child support requirement; otherwise a sanction will be imposed.

The sanction is imposed on the first day of the month following the month which the notice of action is mailed to the participant.

The Zuni Tribal TANF imposes the sanctions at the following levels:

- 1st level: Twenty-five percent (25%) reduction of cash assistance for the first occurrence of non-compliance (after conciliation process);
- 2nd level: Fifty percent (50%) reduction of cash assistance for the second occurrence of non-compliance;
- 3rd level: Termination of cash assistance and ineligibility for (12) twelve months for the third occurrence of non-compliance

Re-establishing compliance will allow full payment to resume.

Average monthly number of payments for child care services made by the Tribal TANF program through the use of disregards-

Our Tribal TANF is part of P.L. 102-477, all families are internally referred for child care assistance funded by Child Care Development Fund (CCDF), if childcare is a need for TANF families. Childcare is a major barrier, but we are fortunate to have the CCDF program approved under P.L. 102-477 which positively impacts and prioritizes services to our TANF families. Therefore, for the reporting period, the Zuni Tribal TANF Program did not make any payments for child care services.

Description of any non-recurring, short-term benefits provided, including: (i) the eligibility criteria associated with such benefits, including any restrictions on the amount, duration, or frequency of payments, and; (ii) any policies that limit such payments to families that are eligible for TANF assistance or that have the effect of delaying or suspending a family's eligibility for assistance, and; (iii) any procedures or activities developed under the TANF program to ensure that individuals diverted from assistance receive information about, referrals to, or access to other program benefits (such as Medicaid and food stamps) that might help them make the transition from Welfare-to-Work.

The Pueblo of Zuni Tribal TANF program did not provide any type of non-recurring, short term benefits such as clothing allowance to school aged (K-12) TANF children prior to the school year due to unavailability of funding for the reporting period October 1, 2013 – September 30, 2014; fiscal year 2013-2014. No other forms of non-recurring, short-term benefits were provided for the reporting period. *Note: The Job Retention Incentive Bonus is paid under a different funding source.*

Description of the procedures the tribal TANF program has established and is maintaining to resolve displacement complaints pursuant to 286.110. This description must include the name of the Tribal TANF grantee agency with the lead responsibility for administering this provision and explanations of how the Tribal TANF grantee has notified the public about these procedures and how an individual can register a complaint.

ZECD has the responsibility for administering this provision.

Permanent employees of a business, organization and/or agency where a Tribal TANF participant has been placed for unpaid training who feel they have been unlawfully displaced by that participant shall have a right to conciliation and grievance proceedings.

Displacement includes assigning a participant to a position that:

- Results in the termination or reassignment of a regular employee.

- Fills the position of a regular employee on layoff status.
- Creates a new position for a TANF Program participant when the new position performs substantially the same job functions as the position held by a regular employee on layoff, or who is subsequently terminated.
- Fills any established, unfilled position.

Procedures for Filing and Receiving Complaints.

1. Upon request, ZECDC staff will provide information to regular employees and project employers regarding their rights to file a grievance and the correct procedure for filing a grievance.
2. The TANF participant, supervisor and aggrieved party will meet to discuss grievances allowing all parties an opportunity to pursue an informal resolution within 15 days of receiving the complaint.
3. If the grievance is not resolved in the informal meeting, the aggrieved party may request for a fair hearing with the ZECDC Director within 15 days from the date of the informal meeting. The complaint will include:
 - Aggrieved party's name, address, and phone number.
 - Date of grievance.
 - Contact person, if other than the aggrieved party.
 - A description of the action that is the subject of the grievance and the date of the action.
 - The proposed resolution.

The Director will investigate the matter and attempt to establish resolutions. If the circumstance cannot be resolved to the participants satisfaction, and

If the aggrieved party is not satisfied with the Director's decision, he/she may re-appeal within 10 working days from receipt of certified mail.

The proceedings may be dismissed if the ZECDC- TANF participant is no longer placed at the business or organization at the time of Conciliation

Tribes electing the FVO must provide a description of the strategies and procedures in place to ensure that victims of domestic violence receive appropriate alternative services as well as an aggregate figure for the total number of good cause domestic waivers granted

The Pueblo of Zuni Tribe did not elect the FVO. The Zuni Tribal TANF program collaborates with the Pueblo's domestic violence prevention program "New Beginning's." Domestic violence prevention and awareness outreach and workshop presentations are made available. The Tribal TANF case managers offer/provide contact information of legal advocates and other resources of the New Beginning program to TANF families. The Zuni Tribal TANF program provides awareness to TANF adults by incorporating domestic violence awareness into their annual "Healthy Relationship Conferences," and encouraging TANF families to partake in domestic violence awareness activities/workshops.

FISCAL YEAR	BIA FINANCIAL ASSISTANCE & SOCIAL SERVICE PROGRAM REPORT FORM											2013-2014	
TRIBE/AGENCY: PUEBLO OF ZUNI	FIRST QUARTER		SECOND QUARTER		THIRD QUARTER		FOURTH QUARTER		END-OF-YEAR STATUS				
477	Actual		Actual		Actual		Actual						
A	(Oct-Nov-Dec)		(Jan-Feb-Mar)		(Apr-May-June)		(July-Aug-Sept)						
Program Component	B	D	E	G	H	J	K	M	N	P	Q	R	
	Actual Persons Served	Expenditures	Actual Persons Served	Expenditures	Actual Persons Served	Expenditures	Actual Persons Served	Expenditures	Total Actual Persons Served	Expenditures (Sum of All Four Quarters)	Amount Allocated	Surplus or Deficit	
Child Assistance													
Foster Care									0	\$ -			
Residential Care									0	\$ -			
Adoption Subsidy									0	\$ -			
Guardianship Subsidy									0	\$ -			
Special Needs									0	\$ -			
Homemaker Services									0	\$ -			
Adult Care Assistance													
Homemaker Services									0	\$ -			
Residential Care (group home)									0	\$ -			
General Assistance	71	\$ 11,232.00	101	\$ 16,149.00	197	\$ 33,650.00	268	\$ 48,642.00	637	\$ 109,673.00	\$ 119,602.00	\$ (9,929.00)	
Employable	40		55		158		233		486				
Unemployable	31		46		39		35		151				
Individual Self-Sufficiency Plan (ISP)	71		101		197		268		637				
ISP Goals Completed	69		100		190		264		623				
Applications Approved	71		101		197		268		637				
Applications Disapproved	16		8		18		25		67				
Burial Assistance													
Emergency Assistance									0	\$ -			
IIM Accounts													
Services									0				
Distribution Plans Processed									0				
Services Only													
Child Protection									0				
Adult Protection									0				
Child and Family Services									0				
Total	71	\$ 11,232.00	101	\$ 16,149.00	197	\$ 33,650	268	\$ 48,642.00	637	\$ 109,673.00			
638 Tribe/BIA Agency Program Certification (Only)						OSG or 477 Program Certification (Only)							
TRIBE/AGENCY (Insert name/Title):			DATE:			TRIBE (Insert Name/Title): Pueblo of Zuni Governor, Val R. Panteah, Sr.			DATE: 1/2/2015				
AGENCY SUPERINTENDENT (Certify)			DATE:			OFFICE OF SELF GOVERNANCE or OFFICE OF INDIAN ENERGY AND ECONOMIC DEVELOPMENT (Certify):			DATE:				
REGIONAL SOCIAL WORKER (Certify)			DATE:										

Part 2: THE NARRATIVE

Instructions: Complete a narrative for your program by answering the following questions.

(1-2 paragraphs) Briefly describe the community(ies) or tribe(s) that you provide services to (i.e. any information that you feel will help us understand more about your program which may include information such as location, climate, demographics, culture, economy, employment, housing, crime, abuse statistics).

The Zuni Indian Reservation is located 150 miles west of Albuquerque, NM in a scenic valley, surrounded by enchanting mesas, located in McKinley county in the western part of New Mexico covering more than 700 square miles. The Zuni Pueblo, NM climate is warm during summer when temperatures tend to be in the 70's and very cold during winter when temperatures tend to be in the 30's. The warmest month of the year is July with an average high temperature of 90°F, while the coldest month of the year is January with an average low temperature of 16°F. The Zuni Indian reservation is the largest of the nineteen New Mexico Pueblos and as of March 2014 has 11,368 enrolled tribal members with a population of 12,522. The General Assistance service delivery area is within the Zuni Indian reservation. Our Zuni people are considered the most traditional, with a unique language, enriched culture and history. The Zuni's language is "Ashiwi" and to this day, is a proud testament of the Zuni's history because it remains virtually unchanged. There are limited economic development opportunities on the reservation with the biggest employers being: Indian Health Service, Zuni Public School District, Tribal organization and Zuni Housing Authority. Economic opportunities are scarce on the Zuni reservation resulting in our unemployment rate to be well above 50%. As a result, the Zuni community members rely on self employment such as: silversmithing, pottery, fetish carving, wood carving, weaving etc. to meet their basic needs. Many of our people are silversmith's and with the high cost of silver and supplies, they rarely make a profit from the local buyers. Housing conditions for many of our Zuni people include: overcrowdedness, lack of plumbing and/or electricity and housing is a dire need. The Zuni Housing Authority provide rent to own homes, or rental units to low-income families and in 2013 received the USDA Rural Development Self Help grant which allows eligible families to obtain a low interest loan in support of helping families build their own home with guidance/supervision from Zuni Housing Authority Foreman and construction workers. A resource in Zuni called the Art's Guild, provides training to self-employed artisans on how to price their items to include supplies used, time and labor involved, how to sell items via internet as well as promoting authenticity. Some take advantage of this valuable resource, while others choose to continue their own ways of marketing. Domestic violence and attempted suicide is an issue that stems from alcohol and/or drug related activities on the Zuni reservation. Based on the current 2014 statistics, there was a total of 96 calls to Zuni Dispatch from Jan 2014- Sept 2014 regarding domestic violence activity resulting in a total of 61 individuals (both male and females) being arrested. Domestic violence is a difficult issue to touch upon, resources are available to help the abused, however in most cases they do not accept the help that is offered and the unfortunate cycle continues unless they leave the perpetrator. In regard to suicide attempts from Jan 2014 to December 2014, there was a total of 50 attempted with 2 completed suicides on our reservation. Various social ills such as drug/alcohol abuse, no family support, no housing, lack of employment and other ills lead to unfortunate attempts of suicide. (Abuse and suicide statistics provided by Pueblo of Zuni - Meth Suicide Prevention Initiative (MSPI)/Zuni Police Department)

(1-2 paragraphs). Briefly describe your program (i.e. what type of program (477, 638, BIA, Self Governance, or mixture), staffing, caseload per staff, types of services provided, or any information that you feel will help us understand your program).

The Zuni Education and Career Development Center (ZECDC) administers the General Assistance (GA) program under the Public Law 102-477. ZECDC consists of three (3) components: Education, Employment and Support Services. The GA program is under the Support Services component and is overseen by the Public assistance Case Manager whom provides services to an average of 159 individuals per quarter for this reporting period. A monthly program orientation is provided to new participants to gain a better understanding of all the services available under ZECDC. Under this system, ZECDC staff conduct an in-house casestaffing and refer participants to necessary services to include but not limited to: education, employment, work experience, counseling, career planning and other supportive services such as transportation, eyewear, testing fees etc. The GA program is available to singles or couples with no dependents, individuals with temporary or permanent disabilities and/or are awaiting for Social Security Disability benefits determination as well as others that have no sufficient resources to meet their essential needs. GA participants are thoroughly assessed on their career interests, strengths and barriers. Thereafter, individuals attend a self sufficiency planning session that includes a brief overview of what a goal is, how it can be achieved, timeframes and the availability of support services. Each GA participant is required to develop and implement their self sufficiency plan with identified goals. GA participants that have no medical restrictions are required to participate in work activities for 26 hours per week to include but not limited to: GED classes, Adult Basic Education classes, Post Secondary education, volunteer work, cultural activities, job search or community service. All participants are evaluated on work ethics, educational and work activity compliance in accordance to the self sufficiency plan. Education first is ZECDC's philosophy.

(1-2 paragraphs). Discuss the statistical analysis (BIA Financial Assistance & Social Service Program Report Form), which includes increases, decreases, carryover, and unmet need for the current year.

For the reporting period FY 2013-2014, ZECDC -GA program had a significant increase from the previous reporting in the total number of actual persons served under General Assistance. The average number of persons assisted on a quarterly basis was 159 which is an increase of 44%. Of the total served 76% were employable based on assessments and the remaining 24% were unemployable. The case load increased steadily each quarter. With the allocation from the prior year awarded in September 2013 and the current fiscal year allocation, we have attempted our best in conducting outreach to help as many individuals. With a population of over 12,000 we have reached out to approximately 5.5% of our population and will continue to expend the carryover amount to help meet the basic needs of our people. Our total expenditure for the current reporting period was of \$109,673. For the past years, it is rather difficult to project the number of persons to be assisted through General Assistance because the funding allocation varies from year to year. The unmet need of persons needing cash assistance will continue to be met based on the funding allocations we have received thus far. With the carryover amount, ZECDC will be able to assist and reach out to more of our people that reside on the Zuni reservation to assist them with their basic needs, job search, life skills and employability skills. A total of 67 applications were disapproved for reasons to include: failure to attend initial appointment, failure to submit income verification forms, sufficient resources, withdrew application, approved for SSI or application expired. Majority of these applicants most likely would have been accepted and eligible if all requirements were met. Our intent is to ensure applicants/participants take on self responsibilities as this would help them significantly toward self sufficiency.

(1-2 paragraphs). Compare the current year statistical report to the previous year report. Discuss changes in the number of persons served and funds expended. If different, why? (i.e. natural disaster, inflation, program funds reduced). How might your program be impacted should a shortage of funds occur in the next year? (i.e. cite programs that were discontinued or areas where services were reduced due to a shortage of funds).

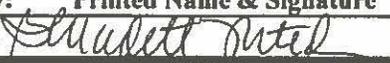
The current report shows a significant increase of total persons served compared to last year's statistical report. There was approximately a 38.5% increase in services provided resulting in an increase of 177 additional person's served than last year's report. Last year, we served a total of 460 individuals and for the current report, we served a total of 637 individuals. The average number of persons served on a monthly basis for the reporting period was 53, which results in a 37% increase from the previous reporting monthly average, with an average of 159 persons per quarter. During the last quarter of the reporting period, the average case load per month was 89. The 39% increase in overall General Assistance services was made possible due to the fact that we had carryover and the current funding allocation which allowed us to help more individuals. There were a total of 67 applicants that were disapproved for services not because of funding shortage but due to applicants not meeting requirements such as failing to keep appointments, failure to submit required income documents; the intent is to have individuals become responsible so they can become self sufficient. The amount of funds expended this reporting period was significantly higher than previous year. Many of our people are self employed artisans and supplies continue to be costly while the value of their artifacts either remain the same or are even decreased by their primary buyers. Therefore, federal assistance would still be needed to maintain some form of stability for the local community and even the possibility to improve the local economy by giving all qualifying individuals a chance to gain self-sufficiency by motivating them with a monthly incentive. Should there be a shortage of funds in the future, we would have to discontinue or limit GA services. It will negatively impact many of our people causing distress amongst individuals and programs that refer individuals for GA assistance which may also increase the unfortunate abuse/suicide statistics. The program has seen an increase of individuals requesting for GA services as a result of the high unemployment rate, economic situation and the below poverty line for income. There are many of our people that live in our community that do need cash assistance but choose not to apply for services and continue to struggle day to day to meet their

Please provide any additional comments or recommendations.

Pueblo of Zuni will work to determine a basis of the average number of individuals that need General Assistance to ensure in the future, all funds are expended fully. It is rather difficult to determine the projected amount of individuals to serve when the allocation amount differs each fiscal year. We are thankful that in the last two fiscal years, we have received a large increase in the GA allocation. Our Pueblo people have the need for General Assistance as many of our people live in poverty with an unemployment rate above 50%. Pueblo of Zuni recommends that tribes have the opportunity to increase their standard of need by 10% as the cost of living is not decreasing but increasing. The cost of utilities alone is a huge expense in itself during the winter season and makes it more difficult to meet other basic needs such as food and clothing.

3/10/2014

Pub. L. 102-477 Statistical Report

Tribal Nation: PUEBLO OF ZUNI		Report Period	
		From: Oct 1, 2013	To: Sept 30, 2014
I. Participants Served	Adults	Youth	Cash Assistance Recipients
A. Total Participants	316	266	442
B. Total Terminees	163	128	165
C. Total Current Participants	153	138	277
II. Terminee Outcomes	Adult	Youth	Cash Assistance Recipients
A. Total with Employment Objective	53	69	72
1. Entered Unsubsidized Employment	34	1	22
2. Other Employment Outcomes	11	5	50
3. Employment Objective Not Achieved	12	63	10
4. Earnings Gain	\$12.89	\$	\$8.78
B. Total with Educational/Training Objective	113	61	42
1. Degree/Certificate Attempted/Attained	59/32	19/24	30
2. Other Education Outcome	37	21	32
3. Education Objective Not Achieved	25	1	6
4. Literacy Gain – # of participants attempted/attained		13/1	
5. Numeracy Gain– # of participants attempted/attained		13/2	
C. Misc. Objective Achieved	52	0	33
D. Other (Non-Positive)	5	0	8
III. Terminee Characteristics	Adult	Youth	Cash Assistance Recipients
A. Female	71	77	104
B. Male	92	51	61
C. Education Level:			
1. Dropout	46	11	20
2. Student	12	32	35
3. High School Diploma/GED	48	40	105
4. Post High School	56	45	5
D. Veteran	0	0	
IV. Participant Activities	Adult	Youth	Cash Assistance Recipients
A. Employment	83	71	201
B. Education/Training	238	194	141
C. Misc. Objective/Supportive Services	52	2	92
D. Other/Service Referral	15	0	8
V. Child Care Development Activities	Adult	Youth	Cash Assistance Recipients
A. Families Receiving Child Care	53		
B. Children Receiving Child Care		89	
1. Ages 0 to 3 years		43	
2. Ages 4 to 5 years		15	
3. Ages 6 and above		31	
C. Care Received – Type of Provider		53	
1. Tribal Center Based		0	
2. Other Center Based		0	
3. Group Home		53	
4. Other Care		0	
VI. Jobs Creation/Economic Development	Jobs Created	Indians/Alaska Natives Employed	Businesses Assisted
A. Number	11		15
Report Prepared By:	Printed Name & Signature	Phone Number	Date
Bernadette Panteah		(505) 782-5998	