



OHKAY OWINGEH
FINANCE & ACCOUNTING SERVICES
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May 18, 2015

VIA U.S. MAIL

Jeffrey Barwick , Workforce Development Specialist
1849 Constitution Avenue NW
MS 4513 Room 4071 M.I.B.
Washington, DC 20245

RE: 477 Plan Annual Report to 03-31-2015
OO#s 277-1403/1503; 281-1312/1412; 282-1312/1412

Dear Mr. Barwick:

Enclosed, please find the Final Public Law 102-477 Financial Status Report, Statistical Report, and Program Narrative for Grant No. OSGT714 for the period 04/01/2014 through 03/31/2015.

Should you have any questions regarding the enclosed report, please feel free to contact me at 505-852-4400 (ext. 234).

Sincerely,

Melinda Mainland, Interim CFO
Ohkay Owingeh Finance & Accounting Services

Enclosures

U.S. Department of the Interior
Public Law 102-477

Annual Financial Expenditure Report

1. Tribe/Tribal Organization: Ohkay Owingeh Tribe of New Mexico	2. Other Identifying Number Assigned by DOI: OSGT714
3. Mailing Address: (Provide complete mailing address) PO BOX 1119, Ohkay Owingeh, New Mexico 87566	
4. Submission: (Mark One) <input checked="" type="radio"/> Original <input type="radio"/> Revised	5. Final Report for Plan Period: <input type="radio"/> Yes <input checked="" type="radio"/> No

6. Annual Report Period: From: <u>04/01/2014</u> To: <u>03/31/2015</u> (Month/Day/Year) (Month/Day/Year)	7. Plan Period Covered by this Report: From: <u>04/01/2013</u> To: <u>03/31/2016</u> (Month/Day/Year) (Month/Day/Year)
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8. Transactions:	Column I: Previously Reported	Column II: This Annual Report Period	Column III: Cumulative/Total
a. Total Funds Available	\$ 165,106.30	\$ 238,595.30	\$ 403,701.60
b. Cash Assistance Expenditures	\$ -	\$ -	\$ -
c. Child Care Services Expenditures	\$ -	\$ -	\$ -
d. Education, Employment, Training and Supportive Services Expenditures	\$ 14,329.40	\$ 95,813.76	\$ 110,143.16
<i>i. TANF Purposes 3 and 4 (non-add)</i>	\$ -	\$ -	\$ -
<i>ii. Other TANF Assistance (non-add)</i>	\$ -	\$ -	\$ -
e. Program Operations Expenditures	\$ 56,263.88	\$ 103,701.13	\$ 159,965.01
<i>i. Child Care Quality Improvement (non-add)</i>	\$ -	\$ -	\$ -
f. Administration/Indirect Cost Expenditures	\$ 9,928.62	\$ 14,783.16	\$ 24,711.78
g. Total Federal Expenditures (Sum of lines b through f)	\$ 80,521.90	\$ 214,298.05	\$ 294,819.95
h. Total Unexpended Funds	\$ 84,584.40	\$ 24,297.25	\$ 108,881.65

9. Certification: This is to certify that the information reported on all parts of this form is accurate and true to the best of my knowledge and belief and that the tribe has complied with all directly applicable statutory requirements and with those directly applicable regulatory requirements which have not been waived.

a. Signature of Official 	
b. Type Name and Title Melinda Mainland, Interim CFO	c. Date Report Submitted 5/18/2015
d. Questions regarding this report – Contact: (Type Name, Title, Phone #, and Email Address) Marti Arends, HR Specialist, 505-852-4400, marti_arends@ohkayowineh-nsn.gov	

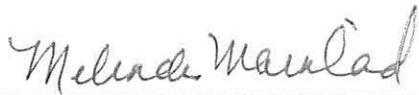
**Certification
Public Law 102-477
Financial Status Report**

For the Period 04/01/2014–03/31/2015

Grant No. OSGT714

- 12a. I hereby certify “that none of the funds in the approved budget which originated in the Workforce Investment Act (WIA) were used in violation of the Act’s prohibition on the use of funds for economic development activities unless the tribe has approved economic development activities pursuant to Pub. L. 106-568 Section 1103”.
- 12b. I hereby certify “that none of the funds in the approved budget which originated in the Workforce Investment Act (WIA) were used in violation of the Act’s restriction on assisting employer relocations”.
- 12c. I hereby certify that no “amount of program income earned and purposes for which such income was expended”.
- 12d. I hereby certify that no “refunds or rebates were received”.
- 12e. I hereby certify that the “amount of funds expended for the category of the approved budget which includes Administrative Cost”.

Certified By:



Melinda Mainland, Interim CFO

05/18/2015

Date

Pub. L. 102-477 Statistical Report

Tribal Nation		Report Period	
Ohkay Owingeh		From: 04//01/2014	To: 03//312015
I. Participants Served	Adults	Youth	Cash Assistance Recipients
A. Total Participants	27	185	0
B. Total Terminees	12	44	0
C. Total Current Participants	15	141	0
II. Terminee Outcomes	Adult	Youth	Cash Assistance Recipients
A. Total with Employment Objective	12	36	0
1. Entered Unsubsidized Employment	0	0	0
2. Other Employment Outcomes	0	36	0
3. Employment Objective Not Achieved	12	0	0
4. Earnings Gain	\$0.00		0
B. Total with Educational/Training Objective	0	44	0
1. Degree/Certificate Attempted/Attained	0/0	25/25	0
2. Other Education Outcome	0	19	0
3. Education Objective Not Achieved	0	0	0
4. Literacy Gain – # of participants attempted/attained		0/0	
5. Numeracy Gain– # of participants attempted/attained		0/0	
C. Misc. Objective Achieved	0	0	0
D. Other (Non-Positive)	0	0	0
III. Terminee Characteristics	Adult	Youth	Cash Assistance Recipients
A. Female	5	21	0
B. Male	7	23	0
C. Education Level:			
1. Dropout	1	0	0
2. Student	0	19	0
3. High School Diploma/GED	10	17	0
4. Post High School	1	8	0
D. Veteran	0	0	0
IV. Participant Activities	Adult	Youth	Cash Assistance Recipients
A. Employment	27	36	0
B. Education/Training	2	64	0
C. Misc. Objective/Supportive Services	0	121	0
D. Other/Service Referral	5	0	0
V. Child Care Development Activities – N/A	Adult	Youth	Cash Assistance Recipients
A. Families Receiving Child Care	0		
B. Children Receiving Child Care		0	
1. Ages 0 to 3 years		0	
2. Ages 4 to 5 years		0	
3. Ages 6 and above		0	
C. Care Received – Type of Provider		0	
1. Tribal Center Based		0	
2. Other Center Based		0	
3. Group Home		0	
4. Other Care		0	
VI. Jobs Creation/Economic Development	Jobs Created	Indians/Alaska Natives Employed	Businesses Assisted
A. Number	55	55	10
Report Prepared By: Printed Name & Signature	Phone Number		Date
<i>Marti Arends</i>			
Marti Arends, Human Resources Specialist	505-852-4400		5/18/2015

OHKAY OWINGEH
P.L. 102-477 ANNUAL REPORT
For Contract No. OSGT714
04/01/2014 through 03/31/2015
Year 2 of 3-Year Period Ending 03/31/2016

Overview

Ohkay Owingeh is currently operating under its second three-year 477 Plan. For the two years ending March 31, 2015, Ohkay Owingeh's 477 Plan has received a total of \$403,701.60 in funding from the all sources including the Department of Interior's Office of Indian Energy and Economic Development Division (IEED). In addition to IEED funding, Ohkay Owingeh's 477 Plan received a one-time \$6,000.00 donation, \$4,623.90 in allocated IDC revenue, and \$1,869.46 in allocated 638 Pay Costs.

Purpose

To reduce the Ohkay Owingeh's tribal unemployment rate to less than 11% by 2020.

Programs Included in Plan

- Johnson O'Malley
- Tribal Scholarships
- Comprehensive Services (a/k/a Adult WIA)
- Supplemental Youth Services (a/k/a Summer Youth)

Objectives

- to improve the effectiveness of the tribe's employment, training, and related services;
- to serve tribally-determined goals consistent with the policy of self-governance;
- establish and train Native Americans with basic skills that will make them more employable;
- establish additional job training programs;
- create new career opportunities for tribal members; and
- create additional funding resources for the employment program.

Summary

Through its 477 funding, Ohkay Owingeh has invested in a total of 212 individuals (27 adults and 185 youths) during the period from April 1, 2014 to March 31, 2015 in order to help them achieve employment and/or educational goals.

Johnson O'Malley

Johnson O'Malley school supply distribution took place on August 14, 15, and 18, 2014 at the Ohkay Owingeh Tribal Administration Building. A total of 121 elementary students from fifteen public schools were given backpacks and basic school supplies, including consisting notebooks, pencils, paper, crayons, markers, pencil sharpeners, glue sticks, calculators, scissors, erasers, etc. Parents were required to sign for supplies received, provide CIB for students, and complete a Parent Assessment Form. Ohkay Owingeh collaborated with the Española School District to prepare a mailing to all parents prior to the distribution. The district also helped Ohkay Owingeh to determine the needs of the students prior to any school supply purchases.

Tribal Scholarships

Fall 2014 scholarships were mailed out to colleges in July 2014. A total of nineteen students received scholarships: seventeen in the amount of \$1,800.00 each, one in the amount of \$290.00, and one in the amount of \$1,343.00.

Students receiving Fall 2014 scholarships attended the following universities and colleges:

<u>Institution</u>	<u># of Students</u>
Academy of Arts University	1
Central New Mexico Community College	2
Haskell Indian Nations University	1
Institute of American Indian Arts	2
Motor Technical Services	1
New Mexico Highlands University	1
Northern Arizona University	1
Northern New Mexico College	7
Santa Fe Community College	1
University of New Mexico	2
TOTAL	19

During the Fall 2014 Semester, three students completed their degree programs.

Spring 2015 scholarships were mailed out to the respective colleges in January, 2015. A total of twenty-one students received scholarships: eighteen in the amount of \$1,176.99 each, one in the amount of \$939.00, one in the amount of \$1,343.00, and one in the amount of \$325.00.

Students receiving Spring 2015 scholarships attended the following universities and colleges:

<u>Institution</u>	<u># of Students</u>
Academy of Arts University	1
Central New Mexico Community College	2
Dartmouth College	1
Institute of American Indian Arts	1
New Mexico Highlands University	1
Northern Arizona University	1
Northern New Mexico College	9
Santa Fe Community College	1
Southwestern Indian Polytechnic Institute	1
University of New Mexico	3
TOTAL	21

During the Spring 2015 Semester, five students completed their degree programs.

In total, eight students completed their college degrees during the 2014-2015 477 Plan year.

Comprehensive Services (a/k/a Adult WIA)

- Attended two (2) trainings regarding the transition from the WIA Program under the Workforce Investment Act of 1998 to the WIOA Program under the Workforce Innovation and Opportunity Act of 2014, effective July 2015.
- Worked one-on-one with twenty-seven (27) WIA participants regarding their obtaining employment or school enrollment in order to facilitate their achieving self-sufficiency.
 - Fifteen (15) participants are still employed
 - Two (2) participants are enrolled full-time at Northern New Mexico College
- Job Readiness Class participants included:
 - Adult WIA participants
 - General Assistance participants
 - Tribal Court participants
 - Total class participation among four (4) classes was sixty-nine (69), resulting in:
 - Seventeen (17) placements of WIA participants
 - Six (6) placements of General Assistance participants
 - Four (4) placements of Tribal Court participants

Supplemental Youth Services (a/k/a Summer Youth)

- The 2014 Summer Youth Program had thirty-six (36) participants
- The 2015 Summer Youth Program will have thirty (30) participants, composed of fifteen (15) high school juniors and seniors and fifteen (15) fourteen- to sixteen-year-olds.
- The Summer Youth Program includes classes and trainings on:
 - Life skills
 - Drug Awareness
 - How to enroll in college
 - How to write a résumé
 - How to complete an employment application
 - Traditional Ohkay Owingeh teachings, dances, and language